



CITY COUNCIL AGENDA REPORT

April 20, 2021
Finance

TITLE: ACCEPT THE MONTHLY DISBURSEMENTS AND INVESTMENT REPORT FOR FEBRUARY 2021

SUMMARY

Attached is the City of Pleasanton monthly disbursements and investment report for the month of February 2021, in accordance with the City's investment policy.

RECOMMENDATION

Staff recommends that the City Council accept claim disbursements in the amount of \$10,831,320 and the investment report for the month of February 2021.

Submitted by:

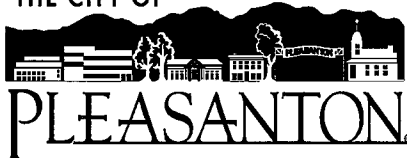
A handwritten signature in blue ink, appearing to read "Tina Olson".

Tina Olson
Director of Finance

Approved by:

A handwritten signature in blue ink, appearing to read "Nelson Fialho".

Nelson Fialho
City Manager



FEBRUARY 2021 DISBURSEMENTS

CHECK REGISTER*

| <u>DATE</u> | <u>CHECK NUMBER</u> | <u>AMOUNT</u> |
|-------------|---------------------|-----------------|
| 02/04/2021 | 36133-36249 | \$ 2,176,229 |
| 02/11/2021 | 36254-36379 | 1,454,579 |
| 02/18/2021 | 36390-36541 | 670,280 |
| 02/20/2021 | 36544 | 19,542 |
| 02/25/2021 | 36545-36673 | 757,149 |
| | | <hr/> 5,077,777 |

ACH PAYMENTS

| <u>DATE</u> | | <u>AMOUNT</u> |
|-------------|-----------------------------|---------------|
| 02/01/21 | 401854267 | \$ 929,450 |
| 02/04/21 | 4719967 | 50 |
| 02/05/21 | 36250-36253 | 74,346 |
| 02/19/21 | 36542-36543 | 1,357 |
| 02/12/21 | 36380-36389 | 998,298 |
| 02/26/21 | 36674-36683 | 283,560 |
| | Payroll & Tax related ACH's | <hr/> 719,520 |
| | | 3,006,580 |

WIRE TRANSFERS

| | <u>AMOUNT</u> |
|-----------------------------------|---------------|
| Wire Transfers | \$ 23,450,740 |
| Investment of Funds | (23,450,740) |
| Wire Transfers Net of Investments | <hr/> - |

PAYROLL TRANSFERS

| <u>DATE</u> | <u>AMOUNT</u> |
|-------------|-----------------|
| 02/11/21 | \$ 1,399,670 |
| 02/24/21 | 1,347,293 |
| | <hr/> 2,746,963 |

| | |
|----------------------------|----------------------|
| TOTAL DISBURSEMENTS | \$ 10,831,320 |
|----------------------------|----------------------|

* Detailed registers available upon request.



CITY OF PLEASANTON
Portfolio Management
Portfolio Summary
February 28, 2021

| Investments | Par Value | Market Value | Book Value | % of Portfolio | Term | Days to Maturity | YTM 360 Equiv. | YTM 365 Equiv. |
|----------------------------------|-----------------------|-----------------------|-----------------------|----------------|--------------|------------------|----------------|----------------|
| Certificates of Deposit - Bank | 1,024,210.10 | 1,024,210.10 | 1,024,210.10 | 0.50 | 503 | 257 | 0.359 | 0.364 |
| LAIF | 75,000,000.00 | 75,170,348.85 | 75,000,000.00 | 36.60 | 1 | 1 | 0.401 | 0.407 |
| Medium Term Notes | 47,850,000.00 | 50,170,271.60 | 48,230,896.22 | 23.53 | 1,604 | 1,041 | 2.249 | 2.281 |
| Federal Agency Issues - Coupon | 4,000,000.00 | 4,110,260.00 | 3,988,720.00 | 1.95 | 1,359 | 432 | 2.230 | 2.260 |
| Federal Agency Issues - Callable | 76,715,000.00 | 76,772,678.36 | 76,695,138.36 | 37.42 | 1,825 | 1,666 | 0.798 | 0.809 |
| Investments | 204,589,210.10 | 207,247,768.91 | 204,938,964.68 | 100.00% | 1,090 | 879 | 1.020 | 1.034 |

| Total Earnings | February 28 | Month Ending | Fiscal Year To Date |
|--------------------------|----------------|--------------|---------------------|
| Current Year | 103,768.13 | | 1,881,971.12 |
| Average Daily Balance | 189,543,040.39 | | 194,489,501.19 |
| Effective Rate of Return | 0.71% | | 1.45% |

I hereby certify that, to the best of my knowledge, this report includes all investments in the cash management program, is in accordance with the City's current investment policy dated June 16, 2020 and will meet the City's cash flow needs for the next six months. Market value amounts are from Bank of New York Mellon (safekeeping bank) month-end statements. Book value amounts include premiums or discounts and are adjusted at year end on the general ledger.

Tina Olson
 TINA OLSON, DIRECTOR OF FINANCE

CITY OF PLEASANTON
Portfolio Management
Portfolio Details - Investments
February 28, 2021

| CUSIP | Investment # | Issuer | Average Balance | Purchase Date | Par Value | Market Value | Book Value | Stated Rate | YTM 360 | YTM 365 | Days to Maturity | Maturity Date |
|---------------------------------------|--------------|------------------------------|----------------------|---------------|----------------------|----------------------|---------------|-------------|--------------|--------------|------------------|---------------|
| Certificates of Deposit - Bank | | | | | | | | | | | | |
| SYS EWB21 | 1084 | East West Bank | | 07/28/2020 | 253,564.33 | 253,564.33 | 253,564.33 | 0.250 | 0.250 | 0.253 | 149 | 07/28/2021 |
| SYS 1082 | 1082 | Fremont Bank | | 04/21/2020 | 257,377.86 | 257,377.86 | 257,377.86 | 0.150 | 0.148 | 0.150 | 235 | 10/22/2021 |
| SYS 1087 | 1087 | Heritage Bank of Commerce | | 10/21/2020 | 254,918.83 | 254,918.83 | 254,918.83 | 0.500 | 0.493 | 0.500 | 234 | 10/21/2021 |
| UBB | 1081 | United Business Bank | | 04/11/2020 | 258,349.08 | 258,349.08 | 258,349.08 | 0.550 | 0.542 | 0.550 | 406 | 04/11/2022 |
| | | Subtotal and Average | 1,024,210.10 | | 1,024,210.10 | 1,024,210.10 | | | 0.359 | 0.364 | 257 | |
| LAIF | | | | | | | | | | | | |
| SYS5 | 5 | LOCAL AGENCY INVESTMENT FUND | | | 75,000,000.00 | 75,170,348.85 | 75,000,000.00 | 0.407 | 0.401 | 0.407 | 1 | |
| | | Subtotal and Average | 75,000,000.00 | | 75,170,348.85 | 75,000,000.00 | | | 0.401 | 0.407 | 1 | |
| Fidelity - Government Fund | | | | | | | | | | | | |
| SYS2 | 2 | Fidelity - Money Market Fund | | | 0.00 | 0.00 | 0.00 | 0.010 | 0.010 | 0.010 | 1 | |
| SYS2A | 2A | Fidelity - Money Market Fund | | | 0.00 | 0.00 | 0.00 | 0.010 | 0.010 | 0.010 | 1 | |
| | | Subtotal and Average | 0.00 | | 0.00 | 0.00 | | | 0.000 | 0.000 | 0 | |

| Medium Term Notes | Investment # | Issuer | Average Balance | Purchase Date | Par Value | Market Value | Book Value | Stated Rate | YTM 360 | YTM 365 | Days to Maturity | Maturity Date |
|-------------------|--------------|--------------------------|-----------------|---------------|--------------|--------------|--------------|-------------|---------|---------|------------------|---------------|
| 88579YBH3 | 6053 | 3M Company | | 02/14/2020 | 2,000,000.00 | 2,120,120.00 | 2,016,869.48 | 2.000 | 1.798 | 1.823 | 1,446 | 02/14/2025 |
| 037833CQ1 | 6014 | Apple Inc. | | 07/05/2017 | 1,000,000.00 | 1,027,760.00 | 1,002,150.00 | 2.300 | 2.222 | 2.253 | 436 | 05/11/2022 |
| 037833CQ1 | 6015 | Apple Inc. | | 12/20/2017 | 2,000,000.00 | 2,055,520.00 | 1,990,800.00 | 2.300 | 2.378 | 2.411 | 436 | 05/11/2022 |
| 037833BU3 | 6024 | Apple Inc. | | 08/31/2018 | 1,500,000.00 | 1,575,195.00 | 1,487,250.00 | 2.850 | 3.013 | 3.054 | 724 | 02/23/2023 |
| 037833DC1 | 6044 | Apple Inc. | | 04/16/2019 | 2,000,000.00 | 2,061,860.00 | 1,964,760.00 | 2.100 | 2.608 | 2.644 | 560 | 09/12/2022 |
| 037833DM9 | 6045 | Apple Inc. | | 05/03/2019 | 2,000,000.00 | 2,156,500.00 | 2,009,000.00 | 2.850 | 2.716 | 2.753 | 1,167 | 05/11/2024 |
| 037833DF4 | 6048 | Apple Inc. | | 01/07/2020 | 1,040,000.00 | 1,091,428.00 | 1,040,000.00 | 1.800 | 1.775 | 1.800 | 1,290 | 09/11/2024 |
| 037833DF4 | 6049 | Apple Inc. | | 01/13/2020 | 1,000,000.00 | 1,084,970.00 | 1,037,212.20 | 2.750 | 1.938 | 1.965 | 1,414 | 01/13/2025 |
| 037833EB2 | 6065 | Apple Inc. | | 02/08/2021 | 2,000,000.00 | 1,996,860.00 | 1,996,860.00 | 0.700 | 0.722 | 0.732 | 1,805 | 02/08/2026 |
| 037833BY5 | 6066 | Apple Inc. | | 02/23/2021 | 3,000,000.00 | 3,331,380.00 | 3,331,380.00 | 3.250 | 0.967 | 0.981 | 1,820 | 02/23/2026 |
| 084670BJ6 | 6060 | BERKSHIRE HATHAWAY INC | | 03/24/2020 | 1,500,000.00 | 1,582,455.00 | 1,505,119.50 | 3.000 | 2.836 | 2.875 | 712 | 02/11/2023 |
| 064159JG2 | 6026 | Bank of Nova Scotia | | 11/02/2018 | 2,000,000.00 | 2,058,660.00 | 1,956,244.08 | 2.700 | 3.350 | 3.396 | 371 | 03/07/2022 |
| 06408RAE7 | 6041 | BANK OF NEWYORK MELLON | | 02/26/2019 | 2,000,000.00 | 2,103,740.00 | 2,003,610.13 | 2.950 | 2.861 | 2.901 | 699 | 01/29/2023 |
| 17325FAS7 | 6051 | CitiBank NA | | 01/23/2020 | 1,000,000.00 | 1,093,140.00 | 1,065,374.39 | 3.650 | 1.917 | 1.943 | 1,058 | 01/23/2024 |
| 594918BP8 | 6018 | Microsoft Corp | | 06/21/2018 | 2,000,000.00 | 2,013,760.00 | 1,921,000.00 | 1.550 | 2.839 | 2.878 | 160 | 08/08/2021 |
| 594918BQ6 | 6022 | Microsoft Corp | | 07/12/2018 | 2,000,000.00 | 2,086,200.00 | 1,896,800.00 | 2.000 | 3.065 | 3.107 | 890 | 08/08/2023 |
| 594918AT1 | 6023 | Microsoft Corp | | 08/31/2018 | 1,500,000.00 | 1,567,740.00 | 1,457,400.00 | 2.375 | 2.990 | 3.032 | 791 | 05/01/2023 |
| 69371RQ25 | 6062 | PACCAR FINANCIAL CORP | | 03/31/2020 | 1,330,000.00 | 1,407,406.00 | 1,294,196.40 | 2.150 | 2.770 | 2.808 | 1,263 | 08/15/2024 |
| 89233PF59 | 6034 | Toyota Motor Credit Corp | | 12/24/2018 | 2,000,000.00 | 2,062,860.00 | 2,008,057.39 | 3.300 | 3.117 | 3.160 | 317 | 01/12/2022 |
| 89236TEL5 | 6035 | Toyota Motor Credit Corp | | 01/10/2019 | 1,180,000.00 | 1,235,601.60 | 1,151,479.40 | 2.700 | 3.304 | 3.350 | 681 | 01/11/2023 |
| 89236TDQ5 | 6040 | Toyota Motor Credit Corp | | 02/05/2019 | 1,200,000.00 | 1,207,332.00 | 1,211,256.00 | 3.489 | 3.106 | 3.149 | 316 | 01/11/2022 |
| 89236TGL3 | 6046 | Toyota Motor Credit Corp | | 10/24/2019 | 1,600,000.00 | 1,685,824.00 | 1,599,984.00 | 2.000 | 1.973 | 2.000 | 1,316 | 10/07/2024 |

CITY OF PLEASANTON
Portfolio Management
Portfolio Details - Investments
February 28, 2021

| CUSIP | Investment # | Issuer | Average Balance | Purchase Date | Par Value | Market Value | Book Value | Stated Rate | YTM 360 | YTM 365 | Days to Maturity | Maturity Date |
|---|--------------|--------------------------------|----------------------|---------------|----------------------|----------------------|----------------------|-------------|--------------|--------------|------------------|---------------|
| Medium Term Notes | | | | | | | | | | | | |
| 892331AC3 | 6047 | Toyota Motor Credit Corp | | 01/07/2020 | 1,000,000.00 | 1,077,640.00 | 1,055,220.25 | 3.419 | 1.775 | 1.800 | 871 | 07/20/2023 |
| 89788JAA7 | 6058 | Truist Bank | | 03/13/2020 | 2,000,000.00 | 2,070,640.00 | 1,969,486.00 | 1.500 | 1.796 | 1.821 | 1,470 | 03/10/2025 |
| 95001D5V2 | 6054 | Wells Fargo Bank NA | | 03/17/2020 | 3,000,000.00 | 2,987,670.00 | 3,000,000.00 | 1.750 | 1.726 | 1.750 | 1,477 | 03/17/2025 |
| 30231GAF9 | 6056 | EXXON MOBIL CORPORATION | | 03/11/2020 | 3,000,000.00 | 3,239,010.00 | 3,145,587.00 | 2.709 | 1.667 | 1.690 | 1,466 | 03/06/2025 |
| 30231GBH4 | 6064 | EXXON MOBIL CORPORATION | | 04/20/2020 | 2,000,000.00 | 2,189,000.00 | 2,113,800.00 | 2.992 | 1.753 | 1.777 | 1,479 | 03/19/2025 |
| | | Subtotal and Average | 45,114,168.36 | | 47,850,000.00 | 50,170,271.60 | 48,230,896.22 | | 2.249 | 2.281 | 1,041 | |
| Federal Agency Issues - Coupon | | | | | | | | | | | | |
| 3133EKGC4 | 3574 | FEDERAL FARM CREDIT BANK | | 04/17/2019 | 2,000,000.00 | 2,092,600.00 | 1,987,140.00 | 2.250 | 2.388 | 2.421 | 765 | 04/05/2023 |
| 313383MC2 | 3572 | FEDERAL HOME LOAN BANK | | 12/20/2017 | 2,000,000.00 | 2,017,660.00 | 2,001,580.00 | 2.125 | 2.072 | 2.101 | 102 | 06/11/2021 |
| | | Subtotal and Average | 3,988,720.00 | | 4,000,000.00 | 4,110,260.00 | 3,988,720.00 | | 2.230 | 2.260 | 432 | |
| Federal Agency Issues - Callable | | | | | | | | | | | | |
| 3133EKR3 | 4458 | FEDERAL FARM CREDIT BANK | | 06/21/2019 | 3,000,000.00 | 3,023,760.00 | 3,000,000.00 | 2.220 | 2.190 | 2.220 | 1,208 | 06/21/2024 |
| 3133EK6L5 | 4478 | FEDERAL FARM CREDIT BANK | | 11/12/2019 | 2,000,000.00 | 2,024,900.00 | 2,000,000.00 | 1.790 | 1.765 | 1.790 | 1,352 | 11/12/2024 |
| 3133ELRN6 | 4523 | FEDERAL FARM CREDIT BANK | | 03/05/2020 | 3,000,000.00 | 3,004,320.00 | 3,000,000.00 | 1.500 | 1.479 | 1.500 | 1,465 | 03/05/2025 |
| 3133ELQ49 | 4537 | FEDERAL FARM CREDIT BANK | | 07/06/2020 | 3,000,000.00 | 3,001,440.00 | 2,997,750.00 | 0.700 | 0.706 | 0.715 | 1,582 | 06/30/2025 |
| 3133EMBJ0 | 4548 | FEDERAL FARM CREDIT BANK | | 10/05/2020 | 3,340,000.00 | 3,340,295.03 | 3,336,955.03 | 0.530 | 0.543 | 0.550 | 1,673 | 09/29/2025 |
| 3130AJZ36 | 4544 | FEDERAL HOME LOAN BANK | | 08/27/2020 | 3,000,000.00 | 2,989,710.00 | 2,997,000.00 | 0.600 | 0.612 | 0.620 | 1,640 | 08/27/2025 |
| 3130AKGD2 | 4553 | FEDERAL HOME LOAN BANK | | 11/25/2020 | 3,000,000.00 | 2,996,010.00 | 2,996,250.00 | 0.570 | 0.587 | 0.595 | 1,730 | 11/25/2025 |
| 3130AKR74 | 4555 | FEDERAL HOME LOAN BANK | | 01/22/2021 | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 0.625 | 0.616 | 0.625 | 1,788 | 01/22/2026 |
| 3130AKRN8 | 4557 | FEDERAL HOME LOAN BANK | | 01/28/2021 | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 0.650 | 0.641 | 0.650 | 1,794 | 01/28/2026 |
| 3130AKXQ4 | 4558 | FEDERAL HOME LOAN BANK | | 02/12/2021 | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 0.600 | 0.592 | 0.600 | 1,809 | 02/12/2026 |
| 3130AKZ25 | 4559 | FEDERAL HOME LOAN BANK | | 02/26/2021 | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 0.650 | 0.641 | 0.650 | 1,823 | 02/26/2026 |
| 3130AL351 | 4560 | FEDERAL HOME LOAN BANK | | 02/17/2021 | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 0.625 | 0.616 | 0.625 | 1,814 | 02/17/2026 |
| 3130AL5X8 | 4561 | FEDERAL HOME LOAN BANK | | 02/24/2021 | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 0.650 | 0.641 | 0.650 | 1,821 | 02/24/2026 |
| 3130AKXJ0 | 4562 | FEDERAL HOME LOAN BANK | | 02/24/2021 | 3,125,000.00 | 3,122,500.00 | 3,122,500.00 | 0.600 | 0.608 | 0.616 | 1,821 | 02/24/2026 |
| 3130ALCV4 | 4563 | FEDERAL HOME LOAN BANK | | 02/24/2021 | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 0.750 | 0.740 | 0.750 | 1,821 | 02/24/2026 |
| 3134GTL50 | 4438 | FREDDIE MAC | | 05/14/2019 | 2,000,000.00 | 2,015,240.00 | 2,000,000.00 | 2.560 | 2.525 | 2.560 | 1,170 | 05/14/2024 |
| 3134GVZ68 | 4535 | FREDDIE MAC | | 06/23/2020 | 3,000,000.00 | 2,989,560.00 | 2,988,950.00 | 0.700 | 0.697 | 0.707 | 1,575 | 06/23/2025 |
| 3134GWA55 | 4545 | FREDDIE MAC | | 09/09/2020 | 3,000,000.00 | 2,997,510.00 | 2,998,950.00 | 0.650 | 0.648 | 0.657 | 1,653 | 09/09/2025 |
| 3134GWW93 | 4547 | FREDDIE MAC | | 09/30/2020 | 3,000,000.00 | 2,995,830.00 | 3,000,000.00 | 0.550 | 0.542 | 0.550 | 1,674 | 09/30/2025 |
| 3134GWWT9 | 4549 | FREDDIE MAC | | 10/07/2020 | 3,000,000.00 | 2,998,310.83 | 2,997,920.83 | 0.550 | 0.559 | 0.566 | 1,674 | 09/30/2025 |
| 3134GW3F1 | 4550 | FREDDIE MAC | | 10/28/2020 | 3,000,000.00 | 3,007,590.00 | 3,000,000.00 | 0.600 | 0.592 | 0.600 | 1,702 | 10/28/2025 |
| 3134GXD3 | 4554 | FREDDIE MAC | | 12/01/2020 | 3,000,000.00 | 3,009,000.00 | 3,000,000.00 | 0.620 | 0.612 | 0.620 | 1,736 | 12/01/2025 |
| 3136G4YH0 | 4538 | FEDERAL NATIONAL MORTGAGE ASSN | | 07/14/2020 | 3,250,000.00 | 3,252,892.50 | 3,248,862.50 | 0.700 | 0.697 | 0.707 | 1,596 | 07/14/2025 |
| 3136G4A45 | 4541 | FEDERAL NATIONAL MORTGAGE ASSN | | 07/22/2020 | 3,000,000.00 | 3,000,690.00 | 3,000,000.00 | 0.710 | 0.700 | 0.710 | 1,604 | 07/22/2025 |
| 3135GA3W9 | 4552 | FEDERAL NATIONAL MORTGAGE ASSN | | 11/25/2020 | 3,000,000.00 | 3,003,120.00 | 3,000,000.00 | 0.600 | 0.592 | 0.600 | 1,730 | 11/25/2025 |

Portfolio PLST
 CC
 PM (PRF_PM2) 7.3.0

CITY OF PLEASANTON
Portfolio Management
Portfolio Details - Investments
February 28, 2021

| CUSIP | Investment # | Issuer | Average Balance | Purchase Date | Par Value | Market Value | Book Value | Stated Rate | YTM 360 | YTM 365 | Days to Maturity | Maturity Date |
|-----------|--------------|--------------------------------|-----------------------|---------------|-----------------------|-----------------------|-----------------------|-------------|--------------|--------------|------------------|---------------|
| 3135G06R9 | 4556 | FEDERAL NATIONAL MORTGAGE ASSN | 64,415,941.93 | 01/28/2021 | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 0.550 | 0.542 | 0.550 | 1,794 | 01/28/2026 |
| | | Subtotal and Average | 64,415,941.93 | | 76,715,000.00 | 76,772,678.36 | 76,695,138.36 | | 0.798 | 0.809 | 1,666 | |
| | | Total and Average | 189,543,040.39 | | 204,589,210.10 | 207,247,768.91 | 204,938,964.68 | | 1.020 | 1.034 | 879 | |

Federal Agency Issues - Callable

CITY OF PLEASANTON
Portfolio Management
Investment Status Report - Investments
February 28, 2021

| CUSIP | Investment # | Issuer | Par Value | Stated Rate | Maturity Date | Purchase Date | YTM 360 | YTM 365 | Accrued Interest At Purchase | Current Principal | Book Value |
|--|--------------|---------|----------------------|--------------|---------------|---------------|--------------|--------------|------------------------------|----------------------|----------------------|
| Certificates of Deposit - Bank | | | | | | | | | | | |
| SYS EWB21 | 1084 | EWB | 253,564.33 | 0.250 | 07/28/2021 | 07/28/2020 | 0.250 | 0.253 | | 253,564.33 | 253,564.33 |
| SYS 1082 | 1082 | FREMONT | 257,377.86 | 0.150 | 10/22/2021 | 04/21/2020 | 0.148 | 0.150 | | 257,377.86 | 257,377.86 |
| SYS 1087 | 1087 | HERBK | 254,918.83 | 0.500 | 10/21/2021 | 10/21/2020 | 0.493 | 0.500 | | 254,918.83 | 254,918.83 |
| UBB | 1081 | VCB | 258,349.08 | 0.550 | 04/11/2022 | 04/11/2020 | 0.542 | 0.550 | | 258,349.08 | 258,349.08 |
| Certificates of Deposit - Bank Totals | | | 1,024,210.10 | 0.359 | | | 0.364 | | 0.00 | 1,024,210.10 | 1,024,210.10 |
| LAIF | | | | | | | | | | | |
| SYS5 | 5 | LAIF | 75,000,000.00 | 0.407 | | | 0.401 | 0.407 | | 75,000,000.00 | 75,000,000.00 |
| LAIF Totals | | | 75,000,000.00 | | | | 0.401 | 0.407 | 0.00 | 75,000,000.00 | 75,000,000.00 |
| Fidelity - Government Fund | | | | | | | | | | | |
| SYS2 | 2 | FID | 0.00 | 0.010 | | | 0.010 | 0.010 | | 0.00 | 0.00 |
| SYS2A | 2A | FID | 0.00 | 0.010 | | | 0.010 | 0.010 | | 0.00 | 0.00 |
| Fidelity - Government Fund Totals | | | 0.00 | | | | 0.000 | 0.000 | 0.00 | 0.00 | 0.00 |
| Medium Term Notes | | | | | | | | | | | |
| 88579YBH3 | 6053 | 3M | 2,000,000.00 | 2.000 | 02/14/2025 | 02/14/2020 | 1.798 | 1.823 | | 2,016,869.48 | 2,016,869.48 |
| 03783CCQ1 | 6014 | APPL | 1,000,000.00 | 2.300 | 05/11/2022 | 07/05/2017 | 2.222 | 2.253 | Received | 1,002,150.00 | 1,002,150.00 |
| 03783CCQ1 | 6015 | APPL | 2,000,000.00 | 2.300 | 05/11/2022 | 12/20/2017 | 2.378 | 2.411 | Received | 1,990,800.00 | 1,990,800.00 |
| 037833BU3 | 6024 | APPL | 1,500,000.00 | 2.850 | 02/23/2023 | 08/31/2018 | 3.013 | 3.054 | Received | 1,487,250.00 | 1,487,250.00 |
| 037833DC1 | 6044 | APPL | 2,000,000.00 | 2.100 | 09/12/2022 | 04/16/2019 | 2.608 | 2.644 | Received | 1,964,760.00 | 1,964,760.00 |
| 037833CJ2 | 6045 | APPL | 2,000,000.00 | 2.850 | 05/11/2024 | 05/03/2019 | 2.716 | 2.753 | Received | 2,009,000.00 | 2,009,000.00 |
| 037833DM9 | 6048 | APPL | 1,040,000.00 | 1.800 | 09/11/2024 | 01/07/2020 | 1.775 | 1.800 | Received | 1,040,000.00 | 1,040,000.00 |
| 037833DF4 | 6049 | APPL | 1,000,000.00 | 2.750 | 01/13/2025 | 01/13/2020 | 1.938 | 1.965 | Received | 1,037,212.20 | 1,037,212.20 |
| 037833EB2 | 6065 | APPL | 2,000,000.00 | 0.700 | 02/08/2026 | 02/08/2021 | 0.722 | 0.732 | Received | 1,996,860.00 | 1,996,860.00 |
| 037833BY5 | 6066 | APPL | 3,000,000.00 | 3.250 | 02/23/2026 | 02/23/2021 | 0.967 | 0.981 | Received | 3,331,380.00 | 3,331,380.00 |
| 084670BJ6 | 6060 | BERK | 1,500,000.00 | 3.000 | 02/11/2023 | 03/24/2020 | 2.836 | 2.875 | Received | 1,505,119.50 | 1,505,119.50 |
| 064159JG2 | 6026 | BNS | 2,000,000.00 | 2.700 | 03/07/2022 | 11/02/2018 | 3.350 | 3.396 | Received | 1,956,244.08 | 1,956,244.08 |
| 06406RAE7 | 6041 | BNYT | 2,000,000.00 | 2.950 | 01/29/2023 | 02/26/2019 | 2.861 | 2.901 | Received | 2,003,610.13 | 2,003,610.13 |
| 17325FAS7 | 6051 | CITIBN | 1,000,000.00 | 3.650 | 01/23/2024 | 01/23/2020 | 1.917 | 1.943 | Received | 1,065,374.39 | 1,065,374.39 |
| 594918BP8 | 6018 | MICROS | 2,000,000.00 | 1.550 | 08/08/2021 | 06/21/2018 | 2.839 | 2.878 | Received | 1,921,000.00 | 1,921,000.00 |
| 594918BO6 | 6022 | MICROS | 2,000,000.00 | 2.000 | 08/08/2023 | 07/12/2018 | 3.065 | 3.107 | Received | 1,896,800.00 | 1,896,800.00 |
| 594918AT1 | 6023 | MICROS | 1,500,000.00 | 2.375 | 05/01/2023 | 08/31/2018 | 2.990 | 3.032 | Received | 1,457,400.00 | 1,457,400.00 |
| 69371RQ25 | 6062 | PCAR | 1,330,000.00 | 2.150 | 08/15/2024 | 03/31/2020 | 2.770 | 2.808 | Received | 1,294,196.40 | 1,294,196.40 |
| 89233P5T9 | 6034 | TOYOTA | 2,000,000.00 | 3.300 | 01/12/2022 | 12/24/2018 | 3.117 | 3.160 | Received | 2,008,057.39 | 2,008,057.39 |
| 89236TEL5 | 6035 | TOYOTA | 1,180,000.00 | 2.700 | 01/11/2023 | 01/10/2019 | 3.304 | 3.350 | Received | 1,151,479.40 | 1,151,479.40 |
| 89236TDQ5 | 6040 | TOYOTA | 1,200,000.00 | 3.489 | 01/11/2022 | 02/05/2019 | 3.106 | 3.149 | Received | 1,211,256.00 | 1,211,256.00 |

CITY OF PLEASANTON
Portfolio Management
Investment Status Report - Investments
February 28, 2021

| CUSIP | Investment # | Issuer | Par Value | Stated Rate | Maturity Date | Purchase Date | YTM 360 | YTM 365 | Accrued Interest At Purchase | Current Principal | Book Value |
|--|--------------|--------|----------------------|-------------|---------------|---------------|--------------|--------------|------------------------------|----------------------|----------------------|
| Medium Term Notes | | | | | | | | | | | |
| 89236TGL3 | 6046 | TOYOTA | 1,600,000.00 | 2.000 | 10/07/2024 | 10/24/2019 | 1.973 | 2.000 | Received | 1,599,984.00 | 1,599,984.00 |
| 892331AC3 | 6047 | TOYOTA | 1,000,000.00 | 3.419 | 07/20/2023 | 01/07/2020 | 1.775 | 1.800 | Received | 1,055,220.25 | 1,055,220.25 |
| 89786JAA7 | 6058 | TRU | 2,000,000.00 | 1.500 | 03/10/2025 | 03/13/2020 | 1.796 | 1.821 | Received | 1,969,486.00 | 1,969,486.00 |
| 95001D5Y2 | 6054 | WFC | 3,000,000.00 | 1.750 | 03/17/2025 | 03/17/2020 | 1.726 | 1.750 | Received | 3,000,000.00 | 3,000,000.00 |
| 30231GAF9 | 6056 | XOM | 3,000,000.00 | 2.709 | 03/06/2025 | 03/11/2020 | 1.667 | 1.690 | Received | 3,145,587.00 | 3,145,587.00 |
| 30231GBH4 | 6064 | XOM | 2,000,000.00 | 2.992 | 03/19/2025 | 04/20/2020 | 1.753 | 1.777 | Received | 2,113,800.00 | 2,113,800.00 |
| Medium Term Notes Totals | | | 47,850,000.00 | | | | 2.249 | 2.281 | 0.00 | 48,230,896.22 | 48,230,896.22 |
| Federal Agency Issues - Coupon | | | | | | | | | | | |
| 3133EKGK4 | 3574 | FFCB | 2,000,000.00 | 2.250 | 04/05/2023 | 04/17/2019 | 2.388 | 2.421 | Received | 1,987,140.00 | 1,987,140.00 |
| 313383MC2 | 3572 | FHLB | 2,000,000.00 | 2.125 | 06/11/2021 | 12/20/2017 | 2.072 | 2.101 | Received | 2,001,580.00 | 2,001,580.00 |
| Federal Agency Issues - Coupon Totals | | | 4,000,000.00 | | | | 2.230 | 2.260 | 0.00 | 3,988,720.00 | 3,988,720.00 |
| Federal Agency Issues - Callable | | | | | | | | | | | |
| 3133EKR3 | 4458 | FFCB | 3,000,000.00 | 2.220 | 06/21/2024 | 06/21/2019 | 2.190 | 2.220 | | 3,000,000.00 | 3,000,000.00 |
| 3133EK6L5 | 4478 | FFCB | 2,000,000.00 | 1.790 | 11/12/2024 | 11/12/2019 | 1.765 | 1.790 | | 2,000,000.00 | 2,000,000.00 |
| 3133ELRN6 | 4523 | FFCB | 3,000,000.00 | 1.500 | 03/05/2025 | 03/05/2020 | 1.479 | 1.500 | | 3,000,000.00 | 3,000,000.00 |
| 3133ELQ49 | 4537 | FFCB | 3,000,000.00 | 0.700 | 06/30/2025 | 07/06/2020 | 0.706 | 0.715 | Received | 2,997,750.00 | 2,997,750.00 |
| 3133EMB0J | 4548 | FFCB | 3,340,000.00 | 0.530 | 09/29/2025 | 10/05/2020 | 0.543 | 0.550 | 295.03 | 3,336,660.00 | 3,336,660.00 |
| 3130AJZ36 | 4544 | FHLB | 3,000,000.00 | 0.600 | 08/27/2025 | 08/27/2020 | 0.612 | 0.620 | | 2,997,000.00 | 2,997,000.00 |
| 3130AKGD2 | 4553 | FHLB | 3,000,000.00 | 0.570 | 11/25/2025 | 11/25/2020 | 0.587 | 0.595 | | 2,996,250.00 | 2,996,250.00 |
| 3130AKQ74 | 4555 | FHLB | 3,000,000.00 | 0.625 | 01/22/2026 | 01/22/2021 | 0.616 | 0.625 | | 3,000,000.00 | 3,000,000.00 |
| 3130AKRN8 | 4557 | FHLB | 3,000,000.00 | 0.650 | 01/28/2026 | 01/28/2021 | 0.641 | 0.650 | | 3,000,000.00 | 3,000,000.00 |
| 3130AKXQ4 | 4558 | FHLB | 3,000,000.00 | 0.600 | 02/12/2026 | 02/12/2021 | 0.592 | 0.600 | | 3,000,000.00 | 3,000,000.00 |
| 3130AKZ25 | 4559 | FHLB | 3,000,000.00 | 0.650 | 02/26/2026 | 02/26/2021 | 0.641 | 0.650 | | 3,000,000.00 | 3,000,000.00 |
| 3130AL351 | 4560 | FHLB | 3,000,000.00 | 0.625 | 02/17/2026 | 02/17/2021 | 0.616 | 0.625 | | 3,000,000.00 | 3,000,000.00 |
| 3130AL5X8 | 4561 | FHLB | 3,000,000.00 | 0.650 | 02/24/2026 | 02/24/2021 | 0.641 | 0.650 | | 3,000,000.00 | 3,000,000.00 |
| 3130AKXJ0 | 4562 | FHLB | 3,125,000.00 | 0.600 | 02/24/2026 | 02/24/2021 | 0.608 | 0.616 | | 3,122,500.00 | 3,122,500.00 |
| 3130ALCV4 | 4563 | FHLB | 3,000,000.00 | 0.750 | 02/24/2026 | 02/24/2021 | 0.740 | 0.750 | | 3,000,000.00 | 3,000,000.00 |
| 3134GTL50 | 4438 | FHLMC | 2,000,000.00 | 2.560 | 05/14/2024 | 05/14/2019 | 2.525 | 2.560 | | 2,000,000.00 | 2,000,000.00 |
| 3134GVZ68 | 4535 | FHLMC | 3,000,000.00 | 0.700 | 06/23/2025 | 06/23/2020 | 0.697 | 0.707 | | 2,998,950.00 | 2,998,950.00 |
| 3134GWA55 | 4545 | FHLMC | 3,000,000.00 | 0.650 | 09/09/2025 | 09/09/2020 | 0.648 | 0.657 | | 2,998,950.00 | 2,998,950.00 |
| 3134GWW93 | 4547 | FHLMC | 3,000,000.00 | 0.550 | 09/30/2025 | 09/30/2020 | 0.542 | 0.550 | | 3,000,000.00 | 3,000,000.00 |
| 3134GWWT9 | 4549 | FHLMC | 3,000,000.00 | 0.550 | 09/30/2025 | 10/07/2020 | 0.559 | 0.566 | 320.83 | 2,997,600.00 | 2,997,920.83 |
| 3134GW3F1 | 4550 | FHLMC | 3,000,000.00 | 0.600 | 10/28/2025 | 10/28/2020 | 0.592 | 0.600 | | 3,000,000.00 | 3,000,000.00 |
| 3134GXDM3 | 4554 | FHLMC | 3,000,000.00 | 0.620 | 12/01/2025 | 12/01/2020 | 0.612 | 0.620 | | 3,000,000.00 | 3,000,000.00 |
| 3136G4YH0 | 4538 | FNMA | 3,250,000.00 | 0.700 | 07/14/2025 | 07/14/2020 | 0.697 | 0.707 | | 3,248,862.50 | 3,248,862.50 |

CITY OF PLEASANTON
Portfolio Management
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| CUSIP | Investment # | Issuer | Par Value | Stated Rate | Maturity Date | Purchase Date | YTM 360 | YTM 365 | Accrued Interest At Purchase | Current Principal | Book Value |
|--|--------------|--------|-----------------------|-------------|---------------|---------------|--------------|--------------|------------------------------|-----------------------|-----------------------|
| Federal Agency Issues - Callable | | | | | | | | | | | |
| 3136G4A45 | 4541 | FNMA | 3,000,000.00 | 0.710 | 07/22/2025 | 07/22/2020 | 0.700 | 0.710 | | 3,000,000.00 | 3,000,000.00 |
| 3135GA3W9 | 4552 | FNMA | 3,000,000.00 | 0.600 | 11/25/2025 | 11/25/2020 | 0.592 | 0.600 | | 3,000,000.00 | 3,000,000.00 |
| 3135G06R9 | 4556 | FNMA | 3,000,000.00 | 0.550 | 01/28/2026 | 01/28/2021 | 0.542 | 0.550 | | 3,000,000.00 | 3,000,000.00 |
| Federal Agency Issues - Callable Totals | | | 76,715,000.00 | | | | 0.798 | 0.809 | 615.86 | 76,694,522.50 | 76,695,138.36 |
| Investment Totals | | | 204,589,210.10 | | | | 1.020 | 1.034 | 615.86 | 204,938,348.82 | 204,938,964.68 |

CITY OF PLEASANTON
Portfolio Management
Activity By Type

February 1, 2021 through February 28, 2021

| CUSIP | Investment # | Issuer | Stated Rate | Transaction Date | Purchases or Deposits | Redemptions or Withdrawals | Balance |
|---|--------------|--------------------------|-------------|------------------|-----------------------|----------------------------|-----------------------|
| Certificates of Deposit - Bank | | | | | | | |
| | | Subtotal | | | | | 1,024,210.10 |
| LAIF (Monthly Summary) | | | | | | | |
| | | Subtotal | | | | | 75,000,000.00 |
| Fidelity - Government Fund (Monthly Summary) | | | | | | | |
| | | Subtotal | | | | | 0.00 |
| Medium Term Notes | | | | | | | |
| 037833EB2 | 6065 | Apple Inc. | 0.700 | 02/08/2021 | 1,996,860.00 | 0.00 | |
| 037833BY5 | 6066 | Apple Inc. | 3.250 | 02/23/2021 | 3,331,380.00 | 0.00 | |
| 06406HBU2 | 6025 | BANK OF NEWYORK MELLON | 4.150 | 02/01/2021 | 0.00 | 2,756,406.81 | |
| | | Subtotal | | | 5,328,240.00 | 2,756,406.81 | 48,230,896.22 |
| Federal Agency Issues - Coupon | | | | | | | |
| | | Subtotal | | | | | 3,988,720.00 |
| Federal Agency Issues - Callable | | | | | | | |
| 3133ELMJ0 | 4517 | FEDERAL FARM CREDIT BANK | 1.690 | 02/12/2021 | 0.00 | 2,000,000.00 | |
| 3130AKXQ4 | 4558 | FEDERAL HOME LOAN BANK | 0.600 | 02/12/2021 | 3,000,000.00 | 0.00 | |
| 3130AKZ25 | 4559 | FEDERAL HOME LOAN BANK | 0.650 | 02/26/2021 | 3,000,000.00 | 0.00 | |
| 3130AL3S1 | 4560 | FEDERAL HOME LOAN BANK | 0.625 | 02/17/2021 | 3,000,000.00 | 0.00 | |
| 3130AL5X8 | 4561 | FEDERAL HOME LOAN BANK | 0.650 | 02/24/2021 | 3,000,000.00 | 0.00 | |
| 3130AKXJ0 | 4562 | FEDERAL HOME LOAN BANK | 0.600 | 02/24/2021 | 3,122,500.00 | 0.00 | |
| 3130ALCV4 | 4563 | FEDERAL HOME LOAN BANK | 0.750 | 02/24/2021 | 3,000,000.00 | 0.00 | |
| 3134GV4L9 | 4543 | FREDDIE MAC | 0.650 | 02/01/2021 | 0.00 | 2,995,500.00 | |
| | | Subtotal | | | 18,122,500.00 | 4,995,500.00 | 76,695,138.36 |
| | | Total | | | 23,450,740.00 | 7,751,906.81 | 204,938,964.68 |

**CITY OF PLEASANTON
Portfolio Management
Activity Summary**

February 2020 through February 2021

| Month End | Year | Number of Securities | Average Balance | Yield to Maturity | | Managed Pool Rate | Number of Investments Purchased | Number of Investments Redeemed | Average Term | Average Days to Maturity |
|----------------|------|----------------------|-----------------------|-------------------|----------------|-------------------|---------------------------------|--------------------------------|--------------|--------------------------|
| | | | | 360 Equivalent | 365 Equivalent | | | | | |
| February | 2020 | 110 | 215,264,454.88 | 2.129 | 2.159 | 2.110 | 11 | 12 | 1,388 | 1,101 |
| March | 2020 | 110 | 215,062,127.81 | 2.097 | 2.126 | 2.030 | 12 | 12 | 1,345 | 1,103 |
| April | 2020 | 101 | 222,364,879.76 | 1.970 | 1.997 | 1.648 | 4 | 12 | 1,171 | 946 |
| May | 2020 | 97 | 228,151,720.98 | 1.880 | 1.906 | 1.363 | 0 | 4 | 1,125 | 889 |
| June | 2020 | 84 | 221,650,083.37 | 1.735 | 1.759 | 1.217 | 4 | 18 | 1,022 | 809 |
| July | 2020 | 80 | 212,627,992.81 | 1.572 | 1.594 | 0.920 | 8 | 11 | 1,125 | 909 |
| August | 2020 | 74 | 198,152,759.21 | 1.501 | 1.522 | 0.784 | 1 | 7 | 1,101 | 872 |
| September | 2020 | 69 | 193,287,969.66 | 1.399 | 1.419 | 0.685 | 2 | 7 | 1,054 | 825 |
| October | 2020 | 68 | 186,632,721.41 | 1.359 | 1.378 | 0.685 | 4 | 5 | 1,110 | 878 |
| November | 2020 | 67 | 189,980,148.10 | 1.322 | 1.341 | 0.576 | 2 | 3 | 1,170 | 922 |
| December | 2020 | 62 | 194,805,494.45 | 1.223 | 1.240 | 0.540 | 1 | 6 | 1,011 | 773 |
| January | 2021 | 57 | 190,222,971.68 | 1.110 | 1.125 | 0.458 | 3 | 8 | 1,011 | 780 |
| February | 2021 | 62 | 189,543,040.39 | 1.020 | 1.034 | 0.407 | 8 | 3 | 1,090 | 879 |
| Average | | 80 | 204,476,386.26 | 1.563% | 1.585% | 1.033 | 5 | 8 | 1,133 | 899 |

CITY OF PLEASANTON

Portfolio Management

Distribution of Investments By Type

February 2020 through February 2021

| Security Type | February 2020 | March 2020 | April 2020 | May 2020 | June 2020 | July 2020 | August 2020 | September 2020 | October 2020 | November 2020 | December 2020 | January 2021 | February 2021 | Average by Period |
|-------------------------------------|---------------|------------|------------|----------|-----------|-----------|-------------|----------------|--------------|---------------|---------------|--------------|---------------|-------------------|
| Certificates of Deposit - Bank | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.6 | 0.5 | 0.5 | 0.5 | 0.5% |
| Certificates of Deposit - S & L | 8.8 | 13.0 | 24.3 | 27.7 | 34.5 | 30.1 | 31.5 | 34.9 | 32.6 | 29.1 | 38.4 | 39.6 | 36.6 | 29.3% |
| LAIF | | | | | | | | | | | | | | |
| Fidelity - Government Fund | | | | | | | | | | | | | | |
| MONEY MARKET (GENERAL) | | | | | | | | | | | | | | |
| Managed Pool Accounts - 4 | | | | | | | | | | | | | | |
| Passbook/Checking Accounts | 18.9 | 23.1 | 22.9 | 22.8 | 23.9 | 25.6 | 26.8 | 27.0 | 25.7 | 26.8 | 25.4 | 24.1 | 23.5 | 24.3% |
| Medium Term Notes | | | | | | | | | | | | | | |
| Bankers Acceptances | | | | | | | | | | | | | | |
| Commercial Paper - Discount | 3.4 | 3.2 | 3.1 | 3.1 | 2.3 | 2.5 | 2.6 | 2.6 | 2.6 | 2.2 | 2.0 | 2.1 | 2.0 | 2.6% |
| Federal Agency Issues - Coupon | | | | | | | | | | | | | | |
| Federal Agency Issues - Discount | 4.8 | 4.6 | 4.4 | 3.5 | 2.7 | 1.9 | 1.0 | | | | | | | 1.8% |
| Treasury Securities - Coupon | | | | | | | | | | | | | | |
| Treasury Securities - Discount | 63.7 | 55.6 | 44.8 | 42.4 | 36.1 | 39.4 | 37.7 | 34.9 | 38.7 | 41.4 | 33.7 | 33.6 | 37.4 | 41.5% |
| Federal Agency Issues - Callable | | | | | | | | | | | | | | |
| Miscellaneous Securities - Discount | | | | | | | | | | | | | | |

CITY OF PLEASANTON
Portfolio Management
Interest Earnings Summary
February 28, 2021

| | February 28 Month Ending | Fiscal Year To Date |
|---|--------------------------|---------------------|
| CD/Coupon/Discount Investments: | | |
| Interest Collected | 186,340.42 | 1,915,452.13 |
| Plus Accrued Interest at End of Period | 463,041.24 | 461,263.49 |
| Less Accrued Interest at Beginning of Period | (504,952.61) | (898,495.66) |
| Less Accrued Interest at Purchase During Period | (0.00) | (965.86) |
| Interest Earned during Period | 144,429.05 | 1,477,254.10 |
| Adjusted by Capital Gains or Losses | -66,906.81 | 106,235.09 |
| Earnings during Periods | 77,522.24 | 1,583,489.19 |
| Pass Through Securities: | | |
| Interest Collected | 0.00 | 0.00 |
| Plus Accrued Interest at End of Period | 0.00 | 0.00 |
| Less Accrued Interest at Beginning of Period | (0.00) | (0.00) |
| Less Accrued Interest at Purchase During Period | (0.00) | (0.00) |
| Interest Earned during Period | 0.00 | 0.00 |
| Adjusted by Premiums and Discounts | 0.00 | 0.00 |
| Adjusted by Capital Gains or Losses | 0.00 | 0.00 |
| Earnings during Periods | 0.00 | 0.00 |
| Cash/Checking Accounts: | | |
| Interest Collected | 0.00 | 446,337.79 |
| Plus Accrued Interest at End of Period | 953,958.98 | 953,958.98 |
| Less Accrued Interest at Beginning of Period | (927,713.09) | (1,101,814.83) |
| Interest Earned during Period | 26,245.89 | 298,481.94 |
| Total Interest Earned during Period | 170,674.94 | 1,775,736.04 |
| Total Capital Gains or Losses | -66,906.81 | 106,235.09 |
| Total Earnings during Period | 103,768.13 | 1,881,971.13 |