

# **DRAFT Action Plan FY 2019-2020**



**Alameda County HOME Consortium**

**Public Comment Period: *May 11 through June 10, 2019***

**City Council Adoption: *May 21, 2019***

## Executive Summary

### AP-05 Executive Summary - 91.200(c), 91.220(b)

#### 1. Introduction

The Annual Action Plan includes a listing of projects and activities to be funded with CDBG funds during FY2019-20 as well as an annual assessment of the City's progress toward meeting its goals for housing and community development. Pleasanton will receive \$317,784 in federal CDBG funds for federal Fiscal Year 2019, and the City will allocate \$184,695 in local Lower Income Housing Funds and \$215,888 in local General Funds to supplement funding for several housing projects and services which sought funds under the CDBG program funding allocation process.

In order to implement its Housing and Community Development Strategic Plan during the next fiscal year, the City of Pleasanton will allocate CDBG funds to non-profit agencies serving low income persons for the implementation of the FY 2019/20 Housing and Human Services Grants Program (see Table A on next page) which will fund 27 different projects. \$23,184 in CDBG funds will be allocated to the City's annual Section 108 Loan Repayment in accordance with HUD's 20-year repayment plan. \$183,024 in CDBG funds will go toward capital improvement projects benefiting formerly homeless families, domestic violence victims (\$130,000 for the Tri-Valley Haven Facilities Rehabilitation Project), and tenant improvements at CityServe's new facility that will provide services to low income persons (\$53,024 for the CityServe of the TriValley Facility Build Out project). \$47,818 in CDBG funds will fund a public service program providing meals to low income seniors (Open Heart Kitchen Senior Meals Program).

All FY 2019-20 activities are targeted to serve eligible households which reside within the City of Pleasanton, although several projects are located in the adjacent cities of Livermore and Dublin but serve Pleasanton residents.

**TABLE A:  
FY 2019-20 Housing and Human Services Grant (HHS) Recommended Funding Allocation**

Agency Name	Project Description	Funds Requested	Total Recomm	% of Req	FUNDING SOURCE:							
					CDBG Cap/Reh	CDBG Pub Svc	CDBG Admin	City Gen Fund	HOME	HOME Admin	LIHF	
<b>1) CAPITAL / REHAB</b>												
City of Pleasanton	Annual Section 108 Loan Payment (Axis Clinic)	\$ 23,184	\$ 23,184	100%	\$ 23,184							
Tri-Valley Haven	Tri-Valley Haven Facilities Rehabilitation Project	\$ 158,404	\$ 130,000	82%	\$130,000							
CityServe of the TriValley	CDBG Grant for Facility Build Out	\$ 60,000	\$ 53,024	88%	\$ 53,024							
		<b>\$ 241,588</b>	<b>\$ 206,208</b>		<b>\$206,208</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>2) SERVICES - HOUSING</b>												
CityServe of the TriValley*	HOPE Outreach	\$ 50,000	\$ 50,000	100%								\$ 50,000
Abode Services	Tri-Valley Rapid Rehousing Program (Rent Subsidies)	\$ 95,305	\$ 95,305	100%					\$ 95,305			
	Tri-Valley Rapid Rehousing Program (Case Mgmt)	\$ 54,695	\$ 54,695	100%								\$ 54,695
ECHO Housing	Housing Counseling Services	\$ 40,000	\$ 40,000	100%								\$ 40,000
CRIL	Housing & Independent Living Services	\$ 16,025	\$ 15,000	94%								\$ 15,000
Habitat for Humanity**	Housing Rehabilitation Program Administrator	\$ 150,000	\$ -	N/A								
Tri-Valley REACH	Housing Preventative Maintenance and Repair	\$ 25,000	\$ 25,000	100%								\$ 25,000
		<b>\$ 431,025</b>	<b>\$ 280,000</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 95,305</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$184,695</b>
<b>3) SERVICES - MEDICAL / HEALTH</b>												
Axis Community Health	Triage Call Nurse	\$ 13,195	\$ 9,195	70%				\$ 9,195				
		<b>\$ 13,195</b>	<b>\$ 9,195</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 9,195</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>4) SERVICES - FOOD</b>												
Open Heart Kitchen	Hot Meal Program and Weekend Bag Lunch Program	\$ 20,000	\$ 13,400	67%				\$ 13,400				
Open Heart Kitchen	Senior Meal Programs	\$ 50,000	\$ 47,818	96%		\$ 47,818						
Spectrum Community Services	Meals on Wheels for Pleasanton's Homebound Elderly	\$ 22,680	\$ 13,900	61%				\$ 13,900				
Tri-Valley Haven	Tri-Valley Haven's Food Pantry	\$ 25,000	\$ 15,900	64%				\$ 15,900				
		<b>\$ 117,680</b>	<b>\$ 91,018</b>		<b>\$ -</b>	<b>\$ 47,818</b>	<b>\$ -</b>	<b>\$ 43,200</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>5) SERVICES - HOMELESS</b>												
Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services	\$ 35,000	\$ 22,000	63%				\$ 22,000				
Tri-Valley Haven	Sojourner House Homeless Shelter Program	\$ 80,000	\$ 37,000	46%				\$ 37,000				
		<b>\$ 115,000</b>	<b>\$ 59,000</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 59,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>6) SERVICES - FINANCIAL / LEGAL</b>												
CALICO Center	Pleasanton Child Abuse Intervention Program	\$ 11,000	\$ 7,800	71%				\$ 7,800				
Legal Assistance for Seniors	Legal Assistance for Seniors	\$ 10,000	\$ 6,500	65%				\$ 6,500				
Tri-Valley Haven	Counseling and Temporary Restraining Order Clinic	\$ 20,000	\$ 12,400	62%				\$ 12,400				
		<b>\$ 41,000</b>	<b>\$ 26,700</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 26,700</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>7) SERVICES - DISABLED / SPECIAL NEEDS</b>												
Easter Seals Bay Area	Easter Seals Kaleidoscope	\$ 15,000	\$ 5,000	33%				\$ 5,000				
Sunflower Hill	Sunflower Hill Gardens - Curriculum Dev and Teaching	\$ 33,000	\$ 12,000	36%				\$ 12,000				
		<b>\$ 48,000</b>	<b>\$ 17,000</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 17,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>8) SERVICES - OTHER</b>												
Chabot-LP / TV One Stop Career Ctr	Pleasanton VIT A 19-20	\$ 9,164	\$ 5,000	55%				\$ 5,000				
Chabot-LP / TV One Stop Career Ctr	Pleasanton Career & Business Services 2019-2020	\$ 12,703	\$ 6,393	50%				\$ 6,393				
CityServe of the TriValley	Crisis Intervention-Sheltered Residents-City of Pleasanton	\$ 30,000	\$ 19,000	63%				\$ 19,000				
Hope Hospice, Inc.	Grief Suppot Center & Hospice Volunteer Program	\$ 15,000	\$ 8,400	56%				\$ 8,400				
Child Care Links	Early Literacy Diaper Pantry	\$ 12,000	\$ 5,500	46%				\$ 5,500				
Child Care Links	Behavioral Health Care Services	\$ 25,000	\$ 12,500	50%				\$ 12,500				
NAMI Tri-Valley	NAMI Tri-Valley Grant Application	\$ 7,766	\$ 4,000	52%				\$ 4,000				
		<b>\$ 111,633</b>	<b>\$ 60,793</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 60,793</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>9) ADMINISTRATION</b>												
City of Pleasanton (CDBG)	Administration of CDBG / HHS Grant Program	\$ 63,758	\$ 63,758	100%				\$ 63,758				
City of Pleasanton (HOME)	Administration of HOME Program	\$ 7,147	\$ 7,147	100%						\$ 7,147		
		<b>\$ 70,905</b>	<b>\$ 70,905</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ 63,758</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 7,147</b>	<b>\$ -</b>	<b>\$ -</b>
	<b>TOTAL:</b>	<b>\$ 1,190,026</b>	<b>\$ 820,819</b>	<b>69%</b>	<b>\$206,208</b>	<b>\$ 47,818</b>	<b>\$ 63,758</b>	<b>\$215,888</b>	<b>\$ 95,305</b>	<b>\$ 7,147</b>	<b>\$184,695</b>	
			<b>Funding Available:</b>		<b>\$206,208</b>	<b>\$ 47,818</b>	<b>\$ 63,758</b>	<b>\$215,888</b>	<b>\$117,171</b>	<b>\$ 7,147</b>	<b>\$184,695</b>	

\* The HOPE Outreach Program was formerly administered by Abode Services.

\*\* Habitat for Humanity is the City's Housing Rehabilitation Program administrator. FY19/20 funding is \$181,230.47 carry-over funds from FY18/19.

## **2. Summarize the objectives and outcomes identified in the Plan**

The Action Plan includes a detailed table that describes this year's priorities and objectives along with goals and objectives from the five-year Consolidated Plan for fiscal years 2015 through 2019 (FY 2015-19 Consolidated Plan).

The City's performance relative to its annual objectives can be reviewed in detail in the most recent Consolidated Annual Performance & Evaluation Report (CAPER) for FY 2017 that was produced by the Alameda County HOME Consortium. This document is available for review at the City of Pleasanton offices or its website at [www.cityofpleasantonca.gov](http://www.cityofpleasantonca.gov).

A continuing impediment to affordable housing development is the occasional negative perception of affordable housing held by many members of the public. The City of Pleasanton provides local funding to East Bay Housing Organizations (EBHO) to implement an ongoing program which aimed at improving people's perceptions and understanding of affordable housing. In conjunction with other Tri-Valley cities, the City of Pleasanton will collaborate with EBHO in FY2019 to sponsor activities related to Affordable Housing Week, held annually in May.

As stated previously, the City has provided Lower Income Housing Funds and HOME Funds for the renovation of the former PHA-owned Kottinger Place apartments. The City has been working since the mid-1990's with small nonprofit agencies such as East Bay Innovations, Bay Area Community Services (BACS), and REACH (formerly HOUSE, Inc.) to secure housing for persons with special needs. The City provides housing resource information targeted specifically at housing needs for persons with disabilities and provides funding for Community Resources for Independent Living (CRIL) to assist persons with disabilities.

In 2016 and 2017, the City has been working with Sunflower Hill to develop specialized housing and related supportive services for persons with autism and related disorders. In July 2016 the City approved an Affordable Housing Agreement to make available a 1.35 acre site for a 31-unit housing development by Sunflower Hill. Sunflower Hill, partnering with affordable housing developer Satellite Affordable Housing Associates (SAHA), made significant progress in 2018, and in March 2019 successfully finalized its tax credit financing to begin construction in April 2019. SAHA anticipates that construction can be completed and the property fully leased up prior to the end of FY 2019-20.

Pleasanton has provided tenant-based rental assistance through the Tri-Valley Housing Scholarship Program since 1999 in collaboration with the City of Livermore using HOME funds for rental subsidies and local funds for case management services. In FY 2019-20, Abode Services will continue to assist households who are homeless or at risk through the Tri-Valley Rapid Rehousing Program. The City will be allocating its HOME allocation for FY 2019-20 to the rental

subsidies provided by the Rapid Rehousing Program. The City will utilize local HHSF funds in FY2019 to provide counseling and case management services for households with special needs.

The Section 8 certificate and voucher program is currently coordinated through the Housing Authority of the County of Alameda (HACA). The City coordinates with HACA to promote the inclusion of Section 8 voucher holders by local landlords through annual outreach and an educational workshop. In addition, the City coordinated with MidPen and HACA on an application for 50 Project Based vouchers which are dedicated to the Kottinger Gardens project.

**3. Evaluation of past performance**

An evaluation of FY 2017/18 activities was developed for public comment in August 2018. The CAPER for FY 2018/19 will be completed in September 2019.

**4. Summary of Citizen Participation Process and consultation process**

The City held two joint HHSF grant application workshops in collaboration with the cities of Livermore and Dublin in early December 2018. Notices regarding the workshops were mailed directly to over agencies and persons in an "interest list" data base, and notices were also published in the key local newspapers and on city web sites prior to the workshops. HHSF grant applications were reviewed in two public meetings held in March 2019. All meetings will be noticed in a newspaper of general circulation as well as a local paper that is delivered to all Pleasanton residents. Alameda County will also publish notices regarding the overall Action Plan (which includes all entitlement cities and the urban county) in ANG newspapers throughout the County. Copies of the draft Action Plan will be provided to the main Library and City Hall in Pleasanton as well as on the City's website for public review. A 30-day public review period, coordinated by Alameda County HOME Consortium, will commence on May 11<sup>th</sup> and end on June 10<sup>th</sup>, 2019.

**5. Summary of public comments**

The City will solicit public comments on the FY 2019-20 Action Plan for 30 days from May 11 – June 10, 2019.

**6. Summary of comments or views not accepted and the reasons for not accepting them**

Not applicable.

**7. Summary**

The City has proposed allocating its available CDBG funds, as well as City General Funds and Low Income Housing Funds, to activities and projects, described above, in order to address the City's housing and community development needs.

## PR-05 Lead & Responsible Agencies - 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	PLEASANTON	
CDBG Administrator	PLEASANTON	City Manager / Housing Division
HOPWA Administrator		
HOME Administrator		
HOPWA-C Administrator		

**Table 1 – Responsible Agencies**

#### Narrative

The Consolidated Plan is prepared by the City's Housing Manager who reports directly to the Assistant City Manager in the City Manager's office. The Housing Manager is also responsible for administering the CDBG program and is the liaison to County of Alameda for matters related to the HOME program as the City of Pleasanton is a member of the Alameda County HOME Consortium.

#### Consolidated Plan Public Contact Information

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Housing Division  
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## **AP-10 Consultation - 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

The Consolidated Plan and Action Plan is an important document for the HOME Consortium. Built on interagency coordination, consultation, and public participation, the Consolidated Plan focuses attention on housing and community development needs and resources available to meet these needs.

The FY 2015-19 Consolidated Plan was prepared through consultation with other public and private entities. At the countywide level, the HOME Consortium Technical Advisory Committee (HOMETAC), composed of staff from all jurisdictions in the HOME Consortium, meet bi-monthly to provide policy input into the Action Plan planning process. Additional countywide consultation efforts are discussed in detail in the Alameda County section of the Consolidated Plan, which is an important document for the HOME Consortium. This Action Plan implements the Consolidated Plan priorities.

Funding priorities are reviewed through two City commissions in addition to publication throughout the community and public hearing by City Council. The City commission review process for the application review, funding recommendation and award are summarized as follows:

- The City's Human Services Commission (HSC) is appointed by the City Council and holds public meetings monthly on the first Wednesday of the month. The HSC provides the main public forum for discussion of a range of issues related to public services in Pleasanton and the Tri-Valley region. Of specific relevance to the FY 2019/20 Housing and Human Services Grants (HHS) Program were meetings held in December 2018 (discussion of priorities for the upcoming Housing and Human Services Grant, or HHS, process), February 2019 (discussion of the process for reviewing and evaluating applications for HHS funding), and March 2019 (recommendation for HHS funding for projects to be included in the next Action Plan).
- The City's Housing Commission (HC) is also appointed by the City Council and holds public meetings monthly on the third Thursday of the month. The HC is similar to the HSC but focuses on housing-related projects and issues. In March 2019, the HC developed a recommendation for HHS funding for housing-related projects and programs to be included in the next Action Plan.
- The City Council serves as the highest level decision making body for all matters related to the Consolidated Plan. The Council holds public meetings twice monthly on the first and third Tuesday of the month. On May 7<sup>th</sup>, 2019, City Council held a public hearing and approved recommendations for HHS funding from the HSC and HC for projects to be included in the next Action Plan. At the same meeting, the Council approved the annual Action Plan for FY 2019-20 for submittal to HUD.

**Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

In October 2014, the cities of Pleasanton and Livermore formed a multi-jurisdictional subcommittee specifically to discuss policies and priorities for incorporation into the FY 2015-19 Consolidated Plan. The subcommittee, comprised of commission and staff representatives from both cities, held two open public meetings which resulted in an updated set of common regional priorities for incorporation into the FY 2015-19 Consolidated Plan.

As stated previously, the City of Pleasanton collaborates with Livermore and Dublin to hold two annual grant kickoff meetings in early December. The City maintains an active database of potential interested parties and uses it to send out notification of grant funding opportunities at the beginning of every application cycle (early December). The list currently includes approximately 250 nonprofit agencies, individuals, and other interested parties. In addition, ads are placed in local newspapers (including the Pleasanton Weekly which is distributed to every household in Pleasanton) and on the City’s web site. Representatives from approximately 50 agencies (primarily non-profit) and other interested parties attended the annual meetings held in December 2018 and were able to use these opportunities to learn and provide feedback regarding policies and programs of relevance to the Consolidated Plan.

The City has also worked in collaboration regionally on other housing and human services planning and assessment processes including:

- The Tri-Valley Human Services Needs Assessment
- The Housing Element update (certified in early 2015) solicited public input regarding goals, policies, and programs for the City’s future housing development. The Housing Element process involved two public community workshops, stakeholder consultations, and focus group meetings that took place throughout 2014. Stakeholder meetings involved participation from both non-profit and for-profit groups.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

Stakeholders in Alameda County have been assessing the needs of persons experiencing homelessness and working to improve our response across the county since the founding of Alameda County-wide Homeless Continuum of Care Council in 1997. The collaboration includes cities and Alameda County government agencies representing three separate care systems — homeless services, HIV/AIDS services, and mental health services — that share overlapping client populations. Alameda Countywide Homeless and Special Needs Housing Plan, now known as the EveryOne Home plan, helped to form EveryOne Home into a community based organization to implement the Plan and now serves as the County’s Continuum of Care.



EveryOne Home coordinates local efforts to address homelessness, seeks to maintain the existing service capacity, build new partnerships that generate greater resources for the continuum of housing, services, and employment, and establish inter-jurisdictional cooperation. EveryOne Home leverages substantial federal, state, and local resources for homeless housing and services, standardize data collection, and facilitate a year-round process of collaboration. EveryOne Home includes representation from HOME Consortium jurisdictions and CDBG entitlement jurisdictions in the County, service providers and advocates, homeless or formerly homeless persons, representatives of the faith community, business representatives, and education and health care professionals. EveryOne Home receives administrative funding through Alameda County's General Fund as well as contributions from each of Alameda County's jurisdictions.

The EveryOne Home plan is structured around five major goals: 1) **Prevent homelessness and other housing crises.** The most effective way to end homelessness is to prevent it in the first place by making appropriate services accessible at the time they are needed. In particular, people leaving institutions such foster care, hospitals, jails and prisons need interventions and planning that will prevent them from exiting into homelessness. 2) **Increase housing opportunities for homeless and extremely low-income households.** Increasing affordable and supportive housing opportunities requires creative use of existing resources, developing new resources, and using effective models of housing and services. This plan identifies a need for 15,000 units of housing for people who are homeless or living with HIV/AIDS or mental illness over the next 15 years. 3) **Deliver flexible services to support stability and independence.** Culturally competent, coordinated support services must accompany housing. Direct service providers in all systems throughout the county must have a degree of knowledge about and access to a range of housing resources and supportive services. 4) **Measure success and report outcomes.** Evaluating outcomes will allow systems and agencies to identify successful programs and target resources toward best practices. 5) **Develop long-term leadership and build political will.** The goals of EveryOne Home will only be achieved by developing a long-term leadership structure that can sustain systems change activities. Implementation of this plan will also require building and sustaining political and community support for its vision and activities.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

Alameda County Housing and Community Development Department through HMIS and leadership of the EveryOne Home Performance Management Committee supports the EveryOne Home initiative to establish system wide outcomes and to evaluate effectiveness of programs against those outcomes. These outcomes include shortening the period of time homeless and reducing the recidivism rates for homeless people.

Consultation with EveryOne Home, the Alameda Countywide Continuum of Care, on the use of Emergency Solutions Grant (ESG) funds, began in early 2012, when representatives from the City of Berkeley, the City of Oakland, Alameda County Housing and Community Development Department (Urban County grantee), and EveryOne Home worked together to implement the new ESG requirements in a way that would be consistent county-wide and would continue a collaboration which began in 2009 with American Recovery and Reinvestment Act (ARRA) Homelessness Prevention and Rapid Re-housing (HPRP) funds. This collaboration resulted in the creation of Priority Home Partnership (PHP), which was a single county-wide program to implement HPRP. EveryOne Home held a community-wide meeting at which additional consultation and public input into the use of ESG funds was solicited. A series of meetings with EveryOne Home and the ESG grantees continues through the year and a coordinated ESG program was established and began implementation in early 2013. This coordinated program will use this same structure for FY 2019 ESG funding.

**2. Agencies, groups, organizations and others who participated in the process and consultations**

1	<b>Agency/Group/Organization</b>	ABODE SERVICES
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
2	<b>Agency/Group/Organization</b>	AXIS COMMUNITY HEALTH
	<b>Agency/Group/Organization Type</b>	Services-Health Health Agency
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
3	<b>Agency/Group/Organization</b>	COMMUNITY RESOURCES FOR INDEPENDENT LIVING
	<b>Agency/Group/Organization Type</b>	Housing Services-Persons with Disabilities
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
4	<b>Agency/Group/Organization</b>	EAST BAY INNOVATIONS
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment

5	<b>Agency/Group/Organization</b>	EASTER SEALS BAY AREA
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
6	<b>Agency/Group/Organization</b>	EDEN COUNCIL FOR HOPE AND OPPORTUNITY
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-homeless Service-Fair Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
7	<b>Agency/Group/Organization</b>	EDEN I&R
	<b>Agency/Group/Organization Type</b>	Housing Services-homeless Information and Referral
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
8	<b>Agency/Group/Organization</b>	LEGAL ASSISTANCE FOR SENIORS
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment

9	<b>Agency/Group/Organization</b>	OPEN HEART KITCHEN
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
10	<b>Agency/Group/Organization</b>	SPECTRUM COMMUNITY SERVICES
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
11	<b>Agency/Group/Organization</b>	TRI-VALLEY HAVEN
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Victims of Domestic Violence Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Needs Assessment Homeless Needs – Families with Children Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment
12	<b>Agency/Group/Organization</b>	City of Pleasanton Human Services Commission
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Needs Assessment Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Provided input in the development of the Tri-Valley Human Services Needs Assessment

Table 2 – Agencies, groups, organizations who participated

**Identify any Agency Types not consulted and provide rationale for not consulting**

Not applicable.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Alameda County Community Development Agency	They are incorporated.

**Table 3 - Other local / regional / federal planning efforts**

## **AP-12 Participation - 91.401, 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The City maintains (in collaboration with our neighbor cities of Livermore and Dublin) an on-going data base of approximately 250 nonprofit agencies and other parties that have expressed an interest in the Housing and Human Services Grant (HHSB) program (which serves as the local umbrella program for allocating federal CDBG and HOME funds). The City held two joint application workshops in collaboration with the cities of Livermore and Dublin in early December 2018. Notices regarding the workshops were mailed directly to agencies and persons in the aforementioned database, and notices were also published in the key local newspapers and on city websites prior to the workshops. At the workshops (which had identical agendas and formats), staff from each city discussed all aspects of the HHSB program and disbursed application packets along with city-specific policy and procedures manuals.

HHSB applicants attended meetings before either Pleasanton Human Services Commission (March 6, 2019) or the Pleasanton Housing Commission (March 21, 2019) for funding consideration. The Commissions considered the applications and formulated funding recommendations for consideration by the Pleasanton City Council. The funding recommendations were reviewed for approval by the Pleasanton City Council in a public hearing on May 21<sup>st</sup>, 2019, at which time the FY 2019-20 Action Plan was also approved for submittal to HUD. All meetings were noticed in the *Valley Times*, a newspaper of general circulation. Alameda County also published notices regarding the overall Action Plan (which includes all entitlement cities and the urban county) in ANG newspapers throughout the County. Copies of the draft Action Plan were provided to the main Library and City Hall in Pleasanton as well as on the City's website for public review. A 30-day public review period to solicit public comments on the Draft FY 2019-20 Action Plan, will be coordinated by Alameda County HOME Consortium from May 11<sup>th</sup> through June 10<sup>th</sup>, 2019.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Newspaper Ad	Non-targeted/ broad community	Representatives from approximately 50 agencies, and other interested parties, attended two application workshops in early December 2018.	Information was provided by City representatives regarding the local grant programs offered by the cities of Pleasanton, Livermore, and Dublin.	None	<a href="http://www.cityofpleasantonca.gov/resident/housing/grant/default.asp">http://www.cityofpleasantonca.gov/resident/housing/grant/default.asp</a>

**Table 4 – Citizen Participation Outreach**



## Expected Resources

### AP-15 Expected Resources – 91.420(b), 91.220(c) (1, 2)

#### Introduction

This section provides a brief description of the federal CDBG and HOME funds available to implement the City’s FY2019-2020 Annual Action Plan, which outlines the fifth and final year of the FY 2015-2019 Consolidated Plan.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	\$317,784			\$317,784	\$317,784	The City of Pleasanton is an entitlement community and receives funding on an annual basis from the CDBG Program. Program income is an estimate based on projected repayment of past CDBG-funded loans issued through the City's Housing Rehabilitation Program.

**Table 4 - Expected Resources – Priority Table**

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

To maximize leveraging of its own funds and increase the funds available for housing and community development activities, the City of Pleasanton plans to undertake the following activities:

- Support applications by organizations or agencies for other public and private sources of financing to leverage City funds.
- Include leveraging as a goal to the maximum extent possible in the City's HHSF funding application review process.
- Support the acquisition and rehabilitation of existing and new construction of units by nonprofit housing developers.
- Promote private sector rehabilitation through the City's Housing Rehabilitation Program (HRP) in collaboration with Habitat for Humanity.
- Continue to provide support to nonprofit, community-based housing developers and service providers in obtaining other sources of financing.
- Promote joint development with other governmental or quasi-governmental agencies to implement housing community development programs and combine multiple sources of financing.

Matching requirements must be satisfied in the following federal entitlement housing programs: the HOME Program and the Emergency Solutions Grant Program. A variety of non-federal sources may be used for the HOME match requirements, which requires that \$0.25 be "permanently contributed" to the HOME Program or to HOME-assisted projects for every HOME dollar spent. This requirement applies to the program as a whole, not to individual projects. The liability for matching funds occurs when the HOME Consortium actually draws down HOME funds from HUD. Sources of HOME match include cash or cash equivalents from a non-federal source, value of waived taxes or fees, value of donated land or real property, a portion of housing bond proceeds, and the cost of infrastructure improvements, among others.

As a member jurisdiction, the City must comply with the HOME match requirements that have been established by the County. The County will evaluate match requirements for HOME and determine potential match sources. Some match sources may come from local affordable housing trust funds, housing bond proceeds, the value of waived local fees or permits, foregone property tax revenue, local road funds, private donations, services funded by service providers, the State, County, or foundations, other local agency funds, and publicly owned land.

The City does not receive a direct allocation of Emergency Solutions Grant Program (ESG) funds.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

In 2016, the City executed a long-term ground lease for the former Public Housing Authority land (the approximately 5 acre Kottinger Place project) to MidPen Housing to redevelop as the first 131 unit phase of Kottinger Gardens Senior Apartments. The Phase 1 was completed and fully leased up in July 2017. Phase 2, which will have 54 units, is currently under construction and is anticipated to be fully leased up by July 2019. Upon completion, the finished project will have a total of 185 units affordable for very low and extremely low income seniors. To assist with the project, the City purchased in 2012 a half-acre parcel immediately adjacent to the existing Kottinger Place Public Housing development to incorporate into the new project.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Affordable Rental Housing	2015	2019	Affordable Housing	Citywide	Rental Housing Production	CDBG: \$0	Rental units constructed: <i>20 Household Housing Unit</i>
2	Preservation - Owner	2015	2019	Affordable Housing	Citywide	Housing Preservation	CDBG: \$0	Homeowner Housing Rehabilitated: <i>10 Household Housing Unit</i>
3	Preservation - Rental	2015	2019	Affordable Housing	Citywide	Housing Preservation	CDBG: \$0	Rental units rehabilitated: <i>10 Household Housing Unit</i>
4	First Time Homebuyer	2015	2019	Affordable Housing	Citywide	First Time Homebuyer	CDBG: \$0	Direct Financial Assistance to Homebuyers: <i>5 Households Assisted</i>
5	Reduction of Housing Discrimination	2015	2019	Fair housing	Citywide	Fair Housing	CDBG: \$0	Public service activities for Low/Moderate Income Housing Benefit: <i>20 Households Assisted</i>
6	Homelessness Prevention	2015	2019	Homeless	Citywide	Homelessness	CDBG: \$0	Homelessness Prevention: <i>20 Persons Assisted</i>
7	Service Enriched Housing	2015	2019	Affordable Housing	Citywide	Supportive Housing	CDBG: \$0	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: <i>10 Households Assisted</i>
8	Improved Health Care Access	2015	2019	Non-Homeless Special Needs	Citywide	Community Development - Health Care Access	CDBG: \$0	Public service activities other than Low/Moderate Income Housing Benefit: <i>3,100 Persons Assisted</i>
9	Improve Opportunities for Youth	2015	2019	Youth Services	Citywide	Community Development - Youth Opportunities	CDBG: \$0	Public service activities other than Low/Moderate Income Housing Benefit: <i>50 Persons Assisted</i>

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
10	Improve Opportunities for Seniors	2015	2019	Senior Services	Citywide	Community Development - Seniors	CDBG: \$47,818	Public service activities other than Low/Moderate Income Housing Benefit: 968 Persons Assisted
11	Address Emerging Community Needs	2015	2019	Public Services	Citywide	Community Development - Emerging Community Needs	CDBG: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 50 Persons Assisted
12	Improve Public Service Support	2015	2019	Non-Homeless Special Needs	Citywide	Community Development - Infrastructure Improvement	CDBG: \$206,208	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 485 Persons Assisted
13	Promote Economic Development Opportunities	2015	2019	Non-Housing Community Development	Citywide	Community Development - Economic Development	CDBG: \$0	Businesses assisted: 1 Businesses Assisted

**Table 5 - Goals Summary**

**Goal Descriptions** (see attached AP-23 Annual Goals Summary Table)

1	<b>Goal Name</b>	Affordable Rental Housing
	<b>Goal Description</b>	Support the efforts of private developers seeking to develop affordable rental housing in Pleasanton (City financial assistance and fee waivers; tax credits secured by project developers); approve 20 new units with rents affordable to low and very low income households.
2	<b>Goal Name</b>	Preservation - Owner
	<b>Goal Description</b>	Provide Housing Rehabilitation Program (HRP) services to 10 low and very low income households.
3	<b>Goal Name</b>	Preservation - Rental
	<b>Goal Description</b>	Identify existing rental properties that might benefit from rehabilitation. When possible, enter into regulatory agreements with owners to ensure long-term affordability (using CDBG and City Housing Funds).

4	<b>Goal Name</b>	First Time Homebuyer
	<b>Goal Description</b>	Continue to offer down payment assistance loans through the Down Payment Assistance program to help bridge the gap between a minimum down payment and first mortgage and increase buying capacity.
5	<b>Goal Name</b>	Reduction of Housing Discrimination
	<b>Goal Description</b>	Contract annually with ECHO Housing or a similar agency for fair housing and complaint investigations services throughout the City. This service is funded through a combination of City Housing Funds and CDBG funding and includes information and outreach to owners, renters, and buyers concerning their rights and obligations and the support services available in the community.
6	<b>Goal Name</b>	Homelessness Prevention
	<b>Goal Description</b>	Continue to work with EveryOne Home, Abode Services, ECHO Housing, the City of Livermore, and other parties involved in the coordination and delivery of homelessness services to reduce the incidence of homelessness in Pleasanton and the Tri-Valley area.
7	<b>Goal Name</b>	Service Enriched Housing
	<b>Goal Description</b>	Work with Alameda County and other partners to create new transitional and permanent housing units county-wide for special needs individuals and families who are extremely low and low income households.
8	<b>Goal Name</b>	Improved Health Care Access
	<b>Goal Description</b>	Continue to provide technical and material support to Axis Community Health with an emphasis on assisting the construction of the new clinic located at 5925 West Las Positas Blvd. in Pleasanton (and its satellite facilities).
9	<b>Goal Name</b>	Improve Opportunities for Youth
	<b>Goal Description</b>	Continue to implement the recommendations in the City's adopted Youth Master Plan.

10	<b>Goal Name</b>	Improve Opportunities for Seniors
	<b>Goal Description</b>	Work with the City of Pleasanton Paratransit Program and agencies that deliver services to seniors (e.g., Spectrum, Open Heart Kitchen, Senior Support Program, etc.) to improve outreach and access.
11	<b>Goal Name</b>	Address Emerging Community Needs
	<b>Goal Description</b>	Strive to approve one new program or service for low income and/or underserved populations during the fiscal year.
12	<b>Goal Name</b>	Improve Public Service Support
	<b>Goal Description</b>	Provide services in the following areas: child care services; services for persons with developmental disabilities; shelter, counseling, and related services for domestic violence victims; pediatric medical services; services for victims of HIV/AIDS; services to Pleasanton school-age at-risk youth; meal delivery service to homebound elderly persons; Alzheimers counseling and referral services and senior day care; bereavement counseling for low income seniors.
13	<b>Goal Name</b>	Promote Economic Development Opportunities
	<b>Goal Description</b>	Assist one to two businesses during the fiscal year according to demand.

## AP-35 Projects - 91.420, 91.220(d)

### Introduction

This section of the Action Plan includes the programs and activities which the City of Pleasanton will carry out to implement its Housing and Community Development Strategic Plan during FY 2019-20.

This section describes individual activities to be funded with FY 2019-20 Community Development Block Grant (CDBG) funding. The City's use of HOME funding is addressed separately under the County's section of the Consolidated Plan. This section also includes a description of how Pleasanton will ensure geographic distribution of its resources, the process by which assistance will be distributed in the case that an activity location is currently unknown (e.g., the City's Housing Rehabilitation Program), activities benefiting homeless and other special needs populations, and other local or state programs being used to further the jurisdiction's housing and community development goals.

#	Project Name
1	Open Heart Kitchen - Senior Meal Programs
2	City of Pleasanton Axis Section 108 Loan Repayment
3	Tri-Valley Haven Facilities Rehabilitation Project
4	CityServe of the TriValley – Facility Build Out
5	City of Pleasanton - Administration

Table 6 – Project Information

### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

As recommended by the City's Human Services Commission and Housing Commission and approved by the Pleasanton City Council, Open Heart Kitchen's Senior Meal Programs will be funded with CDBG public services funds in FY 2019-20.

The City will continue to repay its HUD Section 108 Loan, in accordance with the 20-year repayment schedule for the \$950,000 HUD Section 108 Loan, which is shared with the cities of Dublin and Livermore, used to complete the Axis Community Health Clinic.

The City also allocated CDBG capital improvements funds to assist Tri-Valley Haven to substantially rehabilitate its facilities and CityServe of the TriValley to undertake tenant improvements at its new facility at the City of Livermore Multiservice Building.

The main obstacles to addressing the City's underserved needs are: 1) the continued funding reductions to the CDBG and HOME programs, and 2) the available funding is far below what is necessary to address all the needs.

Allocation priorities are based on the Consolidated Plan. There are a number of barriers to providing



affordable housing in Pleasanton, based on real estate market and non-market factors. Affordable housing projects are difficult to build due to the high cost of building materials. The cost and limited availability of land in Pleasanton contribute to high development costs. Affordable housing developments generally require multiple funding sources from public and private sources. HUD's cap of 15% of the allocation for public services is an obstacle for providing supportive services to homeless population, especially in smaller cities like Pleasanton which does not receive a significant allocation of CDBG funds.

**AP-38 Project Summary**

**Project Summary Information (see attached AP-38 Projects Summary Table)**

## **AP-50 Geographic Distribution - 91.420, 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

The City of Pleasanton receives an allocation of Community Development Block Grant (CDBG) funds, which may be used for eligible activities, eligible households, and/or eligible areas within its jurisdiction. The CDBG funds are allocated according to a formula based on population data from the 2010 Census and the number of substandard units in each jurisdiction. All projects listed in the “Proposed Projects” tables are intended and open to serve eligible households within the City of Pleasanton. Census tracts that are located completely or partially within the current Pleasanton city limits are as follows: 4506.01, 4506.02, 4506.03, 4506.04, 4506.05, 4506.06, 4506.07, 4506.43, 4506.46, 4507.01, 4507.41, 4507.42, 4507.44, and 4507.45.

#### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
City-wide	100

**Table 7 - Geographic Distribution**

#### **Rationale for the priorities for allocating investments geographically**

For many programs using CDBG funds, such as residential or business rehabilitation, the exact location of the activity is not determined prior to funding the program as a whole. Pursuant to the CDBG Program Final Rule in these instances, the Consolidated Plan must identify who may apply for assistance, the process by which the grantee will select those to receive assistance, and how much and under what terms the assistance will be given.

The City of Pleasanton has contracted with Neighborhood Solutions in prior fiscal years to administer a Housing Rehabilitation Program (HRP) using CDBG, HOME, and Lower Income Housing funds for loans and grants serving eligible low-income home owners and renters in Pleasanton. In October 2016 the contract to provide HRP services was awarded to Habitat for Humanity. The goal of the program is to conserve, preserve, and improve the housing and neighborhoods by addressing the needs of low income Pleasanton residents. To that end, the program provides grants or low interest loans to qualifying properties and owners to provide a variety of rehabilitation services, such as rental housing rehabilitation, minor and major home repairs, mobile home repairs, exterior paint or clean-up assistance, seismic retrofitting, and accessibility improvements. Based on past experience, units benefitting from the HRP have been spread throughout the community although mobile home grants are by definition focused in the two largest mobile home parks at 3231 and 3263 Vineyard Avenue in east Pleasanton (census tract 4507.42). These two parks are primarily occupied by low-income seniors.

The rental rehabilitation program is available to those properties in Pleasanton where at least 51% of the units are occupied by low and very low income households, or in which 51% of the

units will be rent-restricted through a rental agreement to qualified low income households after rehabilitation. This program provides below-market-interest-rate loans to property owners to complete the rehabilitation. Tenant incomes are verified to determine whether at least half of the units are occupied by lower income households. The property's operating budget is also examined to determine the property's long-term financial feasibility and ability to repay the loan.

HOME Investment Partnership funding is allocated to the jurisdictions within the Alameda County HOME Consortium on a formula basis. HOME funds are distributed throughout all parts of the HOME Consortium. All activities to be undertaken are intended and open to serve eligible households living in the Consortium.

## **AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)**

### **Introduction**

Activities to address the housing needs of the homeless, and extremely low-income persons with serious mental illness and/or those living with HIV/AIDS center on the implementation of the EveryOne Home Plan, Mental Health Services Act (MHSA) funds and Housing Opportunities for People with AIDS (HOPWA). MHSA is a California program administered locally by Alameda County's Behavioral Health Care Services Department. The program funds affordable housing development, in partnership with the County Housing and Community Development Department (HCD) and California Housing Finance Agency, rental assistance and services. HOPWA funds are administered by HCD in Alameda County on behalf of the City of Oakland which is the HOPWA grantee. HOPWA funds are used to support affordable housing development, short term rental assistance, and housing-related services.

In 2016 Alameda County was awarded \$28 million per year for five years for the State of California's Whole Person Care Pilot. Housing for Health is one of five initiatives funded by the pilot. Activities include: Services to help people transition into housing, including street outreach, step-downs from skilled nursing facilities when appropriate, and increased access to in-home supportive services for people with disabilities; services to help people sustain their housing, including education and legal assistance; increasing supportive housing options, through incentives for landlords and voluntary programs to improve community living facilities; incentives for participating in entities who achieve desired housing outcomes. Whole person Care funds end after FY2020. FY2019 will be spent on determining how to sustain tenancy sustaining services, housing navigation and street outreach.

The EveryOne Home Plan to End Homelessness: 2018 Strategic Update will be adopted by the membership in Spring and Summer of 2019.

Implementation of the Plan is coordinated by a community-based organization of the same name. The organization also functions as the Alameda County Homeless Continuum of Care. It is guided by a Leadership Board comprised of jurisdictional appointed members and key community constituencies such as consumers, cities, nonprofit service providers and housing developers, businesses, law enforcement, housing authorities, and faith-based organizations. For more detailed information please refer to Alameda County EveryOne Home at [www.everyonehome.org](http://www.everyonehome.org).

**Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including:**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.**

Goal: Continue to refine county-wide coordinated entry system. In 2018 county-wide coordinated entry was implemented so that all projects required to participate are filling openings through coordinated entry matching.

Alameda County is working to improve the front door to the homeless services system, to include prioritization and better matching of household needs to available resources. As of March 2019, over 6,000 homeless people have been assessed and prioritized through coordinated entry.

Coordinating entry is also identifying unsheltered and chronically homeless people for targeted outreach and referring them to street outreach teams throughout the county. Those people will be assessed, offered the opportunity to work with housing navigators to secure permanent housing, and encouraged to move indoors as shelter space becomes available. The Hope project provides outreach to street homeless in south and east Alameda County. It includes a street medicine project funded by Health Care for the Homeless. Building Futures with Women and Children provides street outreach in Mid-County. Outreach teams are connected to coordinated entry and assessment programs.

**Addressing the emergency shelter and transitional housing needs of homeless persons.**

Goal: Support emergency shelter operators to assess and achieve compliance with county-wide operating standards for emergency shelters and continue to incorporate into local county and city funding contracts. The Social Services Agency completed the first round of monitoring visits to assess compliance with the standards in Q1 of 2019, and continues to support the shelters to meet new standards.

Local shelter funders work together to develop emergency shelter operating standards that reflect the continuums commitment to being low-barrier, harm reduction and housing first. Standards have been adopted and local county and city departments that fund emergency shelters incorporate these standards into their funding contracts.

There are now 33 year-round emergency shelters in the County, totaling 787 beds. Four seasonal shelters provide an additional 175 beds during the cold weather months in North County. Six warming centers also operate in north, mid and south and east county, opening when there is a forecast for rain or temperatures below 40 degrees. Warming center beds total approximately

175 beds across the country.

Currently, Alameda County has 18 transitional housing programs that serve singles, families, youth, victims of domestic violence, and includes five programs for veterans. This number is down from 33 programs just a few years ago. In line with direction from HUD, current research, and best practices in the field, the continuum has covered a number of transitional housing programs into either permanent supportive housing or rapid rehousing. The continuum continues to explore further conversations and the possibility of using a substantial portion of the remaining transitional housing more like bridge housing, with much shorter stays and emphasis on existing residents to permanent housing as quickly as possible.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.**

Goal: Integrate the single, prioritized by-name registry of all disabled homeless persons with the coordinated entry registry in HMIS ensuring operators of permanent supportive housing use to lease openings in their projects.

HCD, EveryOne Home and the Healthcare Service Agency collaborate on an initiative to increase chronically homeless persons' access to permanent supportive housing. Known as Home Stretch, which combines street outreach, housing navigation supports, a single prioritize by-name registry of all disabled homeless persons, and matching with permanent supportive housing opportunities with the intent of getting the most vulnerable long term homeless persons housed more quickly, with supports to help them stay housed. Currently 97% of those housed with permanent housing retain it for three years or more.

Goal: Finish the work of reaching operational zero for chronically homeless veterans by March 31, 2019.

In 2015 EveryOne Home in collaboration with the local veteran's administration launched Operation Vets Home, a partnership of veterans serving organizations to identify and house every homeless vets in the county's system. Since the launch of the initiative, the point-in-time number of homeless vets has dropped by over 35%. Everyone Home is working to streamline referral and assessment process for chronically homeless veterans to access VASH vouchers.

In an effort to house all homeless people more quickly, especially families, HCD and Everyone Home have worked to expand resources for rapid rehousing programs. Current capacity across the county exceeds 1,000 slots, approximately 500 of those for veterans. Other populations

served include families on CalWORKs, persons re-entering the community from criminal justice system, those living with serious mental illness, transition age youth and families.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Alameda County HCD will continue to implement the Realignment Housing Program which uses rapid rehousing strategies to assist homeless and unstably housed individuals leaving State correctional facilities to avoid homelessness. The THP+ program provides transitional housing for foster youth wishing to remain in care until age 21. The Health Care Services Agency has also established over two dozen respite care beds for persons being discharged from county hospitals and psychiatric facilities.

Future ESG funding will provide prevention activities that will likely address these populations. In addition, see above for information on Foster Care Waiver funds for homeless prevention and rapid re-housing.

**Discussion**

N/A



## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction**

This section includes the actions planned by the City of Pleasanton during the FY 2019-20 to reduce barriers to affordable housing.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The City of Pleasanton is an active member of the Alameda County HOME Consortium. In 2010, the Consortium completed an updated Analysis of Impediments to Fair Housing as part of its fair housing planning program. The HOME Consortium Analysis of Impediments includes demographic information for each jurisdiction, an evaluation of each jurisdiction's fair housing status, a description of public and private impediments to fair housing choice, and an assessment of current public and private fair housing programs. The HOME Consortium will be updating the AI in accordance to HUD's new regulations.

The City provides fair housing and housing counseling services to area residents through a contract with ECHO Housing. The City's funding is high on a per-capita basis relative to other communities, and the majority of this service is paid for with local rather than HUD funds. ECHO Housing's services include investigation of housing discrimination complaints as well as educational seminars for housing managers, owners, realtors, and tenants. City staff works with ECHO Housing to address identified impediments. In addition to the general services provide through the City's contract with ECHO Housing, the City has been active in the following areas:

- The City has supported a variety of grass-roots efforts over the past decade that have resulted in the construction of new, affordable housing for a wide spectrum of housing needs in the community (family rental, senior, first-time home buyer, disabled, and other special needs). The majority of these efforts have involved local funds.
- The City sponsors, through an annual contribution of local funds, the efforts of the East Bay Housing Organization (EBHO) public education campaign to address local opposition to and misperceptions of affordable housing.
- The City has collaborated extensively with its neighbor city, Livermore, on housing programs and issues of shared concern (e.g., Sojourner House emergency shelter). The cities, as well as the City of Dublin, collaborated to secure a Section 108 Loan to assist in financing the construction of the Axis Community Health Clinic in Pleasanton.

- The City has supported an extensive Housing Rehabilitation Program. The City and its program administrator Habitat for Humanity will continue to outreach to minority and women contractors as well as local business enterprises.
- The City also offers rehabilitation grants and loans through the Housing Rehabilitation Program to assist landlords in removing accessibility barriers to expand rental opportunities for persons with physical disabilities. In addition, the City has used local, State, and HOME funds to construct and or acquire and rehabilitate housing units for rental by persons with physical, mental, and developmental disabilities.
- In 1999, Pleasanton helped establish the Tri-Valley Housing Scholarship Program which offers tenant-based rental assistance to households that are homeless or at risk of being homeless. Subsidies are provided while the head of household is undergoing job training. Over 90% of households served are headed by female heads of households, and the majority of clients have been minority households. This program was recently revamped into the Tri-Valley Rapid Rehousing Program to assist all income-eligible households.

## **Discussion**

Funding. One of the significant barriers to affordable housing in Pleasanton and the surrounding region is the high cost of developing and maintaining affordable housing. The City of Pleasanton will apply CDBG, HOME, and City Lower Income Housing Funds toward affordable housing projects and programs in FY 2019-20. The City will continue to monitor the availability of specialized grants for housing development and will utilize its Lower Income Housing Fund to finance activities promoting affordable housing.

Land Costs. The City does not have ownership of any significant parcels of land at the present time. Therefore, the market cost of land is posing an ongoing constraint to new affordable housing development in which the City has direct involvement. However, the City has worked and will continue to work with individual developers and with the owners of large land holdings to attempt to reserve additional sites for affordable housing development during the five-year Strategic Plan period. The City's Inclusionary Zoning Ordinance provides the option of land dedication in lieu of providing affordable housing units. The completion of the Housing Element Update 2015-2023 may provide additional land ownership opportunities that can be utilized to create new affordable housing.

Housing Development Costs. The City will continue to work to offset the costs of housing development by waiving certain City fees for affordable rental and ownership housing units and by providing various types of subsidies through the use of its Lower Income Housing Fund. The example was cited earlier in this report of extensive fee waivers that have been granted in the past to defray development costs and promote affordable housing construction.

Community Perceptions of Affordable Housing. A continuing impediment to affordable housing development is the negative perception of affordable housing held by many members of the public. The

City provides local funds annually to East Bay Housing Organizations (EBHO) to implement an ongoing program aimed at improving residents' perceptions and understanding of affordable housing. Pleasanton collaborated with its neighbor cities in the Tri-Valley to hold the Tri-Valley Affordable Housing Tour 2018 on May 17, 2018 to tour affordable housing developments in Danville, San Ramon, Dublin, and Livermore. The tour was incorporated in EBHO's 2018 Affordable Housing Week (May 10 – 20, 2018).

## **AP-85 Other Actions - 91.420, 91.220(k)**

### **Introduction**

Low income families have difficulty securing housing without income supports and/or housing assistance. Many times they also do not have additional income to pay for other needs such as food, child care, health care, and dependable transportation. Living from paycheck to paycheck causes families to be in constant danger of becoming or returning to homelessness. According to the 2010 Census, 13.2% (3,243) of Pleasanton's households were low income; 8.7% (2,147) were very low income (50% or below median household income) and 5.7% (1,396) were extremely low income (at or below 30% of median household income). The 2000 Alameda County median family income was \$67,600. In 2018, it is \$104,400. This is a 54% increase in 18 years.

The most commonly available anti-poverty strategies are implemented at the county level and are addressed in the County's section of the Consolidated Plan. The Section 8 certificate and voucher program for Pleasanton residents is currently coordinated through the Housing Authority of the County of Alameda (HACA). The City will consider supporting requests by residents of local senior apartment complexes to receive additional rent subsidies for seniors as opportunities arise. HACA is currently administering 50 Project-based Section 8 Vouchers at the first phase of the Kottinger Gardens (131-unit senior housing development).

### **Actions planned to address obstacles to meeting underserved needs**

There is significant focus on the provision of affordable housing, supportive social services, and community development programs in Pleasanton among all levels of the public and private sectors. A primary strength of the housing and community development delivery systems is the coordination of efforts between the jurisdictions and the groups that oversee these efforts on an inter-jurisdictional basis. These groups, including the Urban County Technical Advisory Committees, the Alameda County Housing and Community Development Advisory Committee and EveryOne Home, allow the different jurisdictions to ensure that projects compliment rather than duplicate efforts, and that policies and programs have some consistency throughout the Urban County. An additional strength is the growing level of coordination between service providers, particularly those addressing housing needs of the homeless and special needs populations, and affordable housing in collaborations. Non-housing community development programs within the Urban County have involved coordination between the Urban County jurisdictions and the agencies or organizations focused on the particular community development area, which might be infrastructure improvements, economic development, accessibility improvements, or child care.

### **Actions planned to foster and maintain affordable housing**

The primary gaps facing Pleasanton and its neighbor cities in delivering affordable and supportive housing are primarily the lack of financial resources (including land) for development, operations, and support services combined with a location that has the highest costs in the region. Community development efforts are frequently limited due to a lack of financial resources. In some cases, there is need for stronger coordination between agencies and organizations although it is generally felt that the region enjoys a high level of coordination and simply needs to continue to foster and expand existing collaborative relationships.

Addressing gaps will continue to be a high priority for Pleasanton. The City will continue its efforts to identify and utilize new sources of financing for affordable housing, supportive housing, and community development programs; and enhance coordination efforts among housing providers, service providers, and governmental agencies. The City has participated in the larger homeless and special needs housing initiatives (the EveryOne Home Plan and the annual Continuum of Care funding process) to select priorities and projects for homeless and special needs funding, and may participate in more focused sub regional efforts in the near future. The City uses Lower Income Housing Trust funds to leverage HOME funds and provide for housing counseling services in conjunction with tenant based rental assistance subsidies for persons experiencing or at risk of homelessness.

### **Actions planned to reduce lead-based paint hazards**

Lead poisoning is a serious issue in some parts of Alameda County where there are significant numbers of older homes occupied by low income families with children (older homes are most likely to contain lead hazards). Lead hazards are defined as any condition that causes exposure to lead from lead-contaminated dust, soil, or paint that is deteriorated or present in accessible surfaces, friction surfaces, or impact surfaces that would result in adverse human health effects. Common sources of lead poisoning are lead-based paint hazards from dust, deteriorated paint, and contaminated soil.

No new programs are being considered for the five-year period to reduce lead-based paint hazards in as much as the City's housing stock is generally young (post-1978) and in good condition. Mitigations are currently implemented on an as needed basis in conjunction with County administered Housing Rehabilitation and Minor Home Repair Program activities. The City is not currently a party to the joint powers agreement which established Alameda County's existing lead abatement program. However, Pleasanton is likely to increase its involvement in lead based paint hazard reduction as involvement in housing rehabilitation programs increases in the future. The City will continue its collaboration with the Alameda County Lead Hazard Prevention Program on marketing and outreach efforts in the Tri-Valley area.

Through its subrecipients of federal funds, the City conducts lead-based paint inspections on tenant-based rental assistance for HOME units built before 1978 as required as part of the Uniform Physical Condition Standards (UPCS) inspections which replaced the Housing Quality

Standard (HQS) Inspections. Lead inspections are also conducted as a part of any grants or loans for owner-occupied or rental units funded through the City's Housing Rehabilitation Program (HRP).

**Actions planned to reduce the number of poverty-level families**

A primary strength of the housing and community development delivery systems is the coordination of efforts between the jurisdictions and the groups that oversee these efforts on an inter-jurisdictional basis. An additional strength is the level of coordination between service providers, particularly those addressing housing needs of the homeless, special needs populations, and housing providers. Pleasanton participates on the Alameda County HOME Consortium Technical Advisory Committee (HOME TAC) which is comprised of staff from the member jurisdictions and the County. The HOME TAC meets bi-monthly to discuss programmatic and policy matters relating to the HOME program as well as broader federal housing and community development funding sources.

Many collaboration efforts are coordinated at the County level. The Shelter Plus Care and the Supportive Housing Program serve the homeless through housing rental assistance and supportive services and aim to reduce the number of people living in poverty in the County. Consortium jurisdictions are working to implement the EveryOne Home Plan, which includes representatives from local jurisdictions, service providers and advocates, homeless or formerly homeless persons, representatives of the faith community, business and labor representatives, education and health care professionals.

The Workforce Investment Board emphasizes private sector, employer-driven job training programs. The Alameda County Self-Sufficiency Program is designed to operate as a single, integrated system for the delivery of work-first, employment focused services. It complies with federal Temporary Assistance to Needy Families (TANF) and Food Stamp Employment and Training requirements and incorporates CalWorks program services and activities. The Self-Sufficiency Centers provide employment services, transportation, child care, drug and alcohol abuse treatment and mental health services to help individuals comply with their welfare-to-work plans. The program encourages community partnerships to leverage and maximize funds, prevent duplication of service delivery, and develop the capacity of the community to sustain a safety-net for an expanding population.

The HOME Program provides rental housing projects to assist households earning 60% or less of area median income. Priority considerations are given to proposals that include income targeting to households earning less than 30% of area median income, a target group that includes households living in poverty. Housing developments targeted to families and individuals in this income group often have a social services component to assist the households with other needs such as job training, skill building, case management, and subsidized child care.

Compliance with Section 3 of the Housing Act of 1968 is required as a condition of most City of

Pleasanton funding contracts. The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects will be directed to low and very low-income persons, particularly persons who are recipients of HUD assistance for housing. The City has developed materials to distribute to contractors to ensure their good faith efforts in complying with Section 3 requirements.

**Actions planned to develop institutional structure**

The primary gaps facing Pleasanton and its neighbor cities in delivering affordable and supportive housing are primarily the lack of financial resources (including land) for development, operations, and support services combined with a location that has the highest costs in the region. Community development efforts are frequently limited due to a lack of financial resources. In some cases, there is need for stronger coordination between agencies and organizations although it is generally felt that the region enjoys a high level of coordination and simply needs to continue to foster and expand existing collaborative relationships.

Addressing gaps will continue to be a high priority for Pleasanton. The City will continue its efforts to identify and utilize new sources of financing for affordable housing, supportive housing, and community development programs; and enhance coordination efforts among housing providers, service providers, and governmental agencies. The City has streamlined and improved the process for selecting and funding housing and community development projects for its HOME and CDBG programs. In addition, the City has participated in the larger homeless and special needs housing initiatives (the EveryOne Home Plan and the annual Continuum of Care funding process) to select priorities and projects for homeless and special needs funding.

**Actions planned to enhance coordination between public and private housing and social service agencies**

See above.

## Program Specific Requirements

### AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

#### Introduction

The program-specific requirements governed by the Community Development Block Grant (CDBG) Program and HOME Investment Partnership Program (HOME) are described below.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	\$0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	\$0
3. The amount of surplus funds from urban renewal settlements	\$0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	\$0
5. The amount of income from float-funded activities	\$0
<b>Total Program Income:</b>	<b>\$0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

#### Discussion

Program income, which comes from repayment of past / deferred homeowner rehab loans, is sporadic and unpredictable and is estimated at approximately \$30,000 per year.



## **Attachments**

### **Grantee SF-424's and Certification(s)**

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
  - a) The dangers of drug abuse in the workplace;
  - b) The grantee's policy of maintaining a drug-free workplace;
  - c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
  - a) Abide by the terms of the statement; and
  - b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:

- a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with Plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

\_\_\_\_\_  
Signature/Authorized Official  
Nelson Fialho

\_\_\_\_\_  
Date

City Manager  
Title

## Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program years **2019** shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

\_\_\_\_\_  
Signature/Authorized Official  
Nelson Fialho

\_\_\_\_\_  
Date

City Manager  
Title

## Specific HOME Certifications

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

\_\_\_\_\_  
Signature/Authorized Official  
Nelson Fialho

\_\_\_\_\_  
Date

City Manager  
Title

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code):

City of Pleasanton Offices  
123 Main Street / 200 Old Bernal Ave.  
Pleasanton, Alameda County, CA 94566

Check \_\_\_ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

\_\_\_\_\_  
Signature/Authorized Official  
Nelson Fialho

\_\_\_\_\_  
Date

City Manager  
Title