

February 5, 2013  
Finance

**TITLE: ACCEPT THE MONTHLY DISBURSEMENTS AND INVESTMENT REPORT FOR DECEMBER 2012**


**SUMMARY**

Attached is the City of Pleasanton monthly disbursements and investment report for the month of December 2012, in accordance with the City's investment policy.

**RECOMMENDATION**

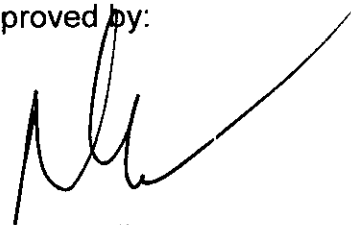
Staff recommends that the City Council accept claim disbursements in the amount of \$11,901,121.54 and the investment report for the month of December 2012.

Submitted by:



Emily E. Wagner  
Director of Finance

Approved by:



Nelson Fialho  
City Manager



## DECEMBER 2012 DISBURSEMENTS

### CHECK REGISTER\*

<u>DATE</u>	<u>CHECK NUMBER</u>	<u>AMOUNT</u>
12/07/2012	306693-306829	\$ 1,289,435.37
12/14/2012	306830-307000	\$ 2,697,529.30
12/21/2012	307001-307185	\$ 1,514,276.89
12/21/2012	307186-307187	\$ 1,325.00
12/28/2012	307188-307190	\$ 5,367.00
		<u>\$ 5,507,933.56</u>

### WIRE TRANSFERS

	<u>AMOUNT</u>
Wire Transfers (932-941)	\$ 17,758,266.90
Investment of Funds	\$ (16,010,884.63)
Wire Transfers Net of Investments	<u>\$ 1,747,382.27</u>

### PAYROLL TRANSFERS

<u>DATE</u>	<u>AMOUNT</u>
12/14/2012	\$ 2,590,331.72
12/20/2012	\$ 46,019.94
12/28/2012	\$ 2,009,454.05
	<u>\$ 4,645,805.71</u>

<b>TOTAL CLAIMS</b>	<u><u>\$ 11,901,121.54</u></u>
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\* Detailed registers available upon request.

**CITY OF PLEASANTON  
INVESTMENT PORTFOLIO STATISTICS  
AS OF DECEMBER 31, 2012**

**ASSET COMPOSITION:**

Fair Value of Investments Held	\$207,570,945
Weighted Average Portfolio Life	2.46 years

**Breakdown of Asset Classification:**

Federal Farm Credit Bank (FFCB) Obligations	26.06%
Federal Home Loan Bank (FHLB) Obligations	19.80%
Federal Home Loan Mort. Corp. (FHLMC) Obligations	6.76%
Federal National Mortgage Assn. (FNMA) Obligations	14.51%
U.S. Government Money Market Funds	6.26%
Local Agency Investment Fund (LAIF)	24.68%
Medium Term Corporate Notes	1.45%
Certificates of Deposit	0.48%
<b>Total</b>	<b><u>100.00%</u></b>

**RETURN ON ASSETS:  
(as of December 31, 2012)**

Yield to Maturity Fiscal YTD	0.75%
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**COMPARATIVE MARKET RATES:  
(Dec. 31, 2012)**

Three Month Treasury Bill	0.05%
Six Month Treasury Bill	0.11%
One Year Treasury Bill	0.16%
Two Year Treasury Note	0.25%

**HISTORICAL RATES OF RETURN:  
City of Pleasanton Pool**

December 31, 2008	3.21%
December 31, 2009	1.51%
December 31, 2010	0.88%
December 31, 2011	1.04%

**SAFEKEEPING OF SECURITIES:**

As of December 31, 2012, the entire portfolio, exclusive of money market accounts and LAIF (Local Agency Investment Fund), was held in third party safekeeping for the benefit of the City of Pleasanton at Bank of New York Mellon. Bank of New York Mellon is a contractual agent for the City of Pleasanton.

**CITY OF PLEASANTON  
INVESTMENT CONFORMITY ANALYSIS  
AS OF DECEMBER 31, 2012**

**COMPLIANCE WITH STATE LAW AND INVESTMENT POLICY:**

As December 31, 2012, all investments in the portfolio are authorized by State of California Government Section 53600 et. Seq. and the City's investment policy.

**SECURITIES RATED BELOW "AA-/Aa3":**

General Electric Capital Corporation rated "A1" by Moody's.

**MONTHLY INVESTMENT DECISIONS (excluding LAIF and money market funds):**

**Purchases:**

<b>Issuer</b>	<b>Par Value</b>	<b>Maturity Date</b>	<b>Coupon</b>	<b>Yield</b>
Federal National Mort Assn	2,000,000	06/27/16	0.56%	0.57%
Federal National Mort Corp	2,000,000	09/20/16	0.63%	0.63%
Federal Farm Credit Bank	2,000,000	03/20/17	0.67%	0.73%
Federal National Mort Assn	2,022,000	05/22/17	0.88%	0.88%
Federal Farm Credit Bank	2,000,000	06/05/17	0.77%	0.81%
Federal National Mort Assn	2,000,000	12/12/17	1.00%	1.00%
Federal Farm Credit Bank	2,000,000	12/26/17	0.90%	0.92%
Federal Home Loan Bank	2,000,000	12/28/17	0.95%	0.96%

**Called Bonds:**

<b>Issuer</b>	<b>Par Value</b>	<b>Maturity Date</b>	<b>Coupon</b>	<b>Yield</b>
Federal Farm Credit Bank	2,000,000	12/07/15	1.33%	1.33%
Federal Farm Credit Bank	2,000,000	12/12/16	1.50%	1.50%
Federal National Mort Assn	1,000,000	06/26/15	0.70%	0.70%
Federal National Mort Assn	1,000,000	12/28/16	1.50%	1.50%

**CURRENT INVESTMENT STRATEGY:**

The City continues to invest in a mix of government agency and treasury securities as excess cash is available.




**CITY OF PLEASANTON**  
**Portfolio Management**  
**Portfolio Summary**  
**December 31, 2012**

City of Pleasanton

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Certificates of Deposit - Bank	980,000.00	980,000.00	980,000.00	0.47	548	447	0.777	0.788
LAIF	51,225,000.00	51,225,000.00	51,225,000.00	24.71	1	1	0.322	0.326
Fidelity - Government Fund	13,000,059.46	13,000,059.46	13,000,059.46	6.27	1	1	0.010	0.010
Medium Term Notes	3,000,000.00	3,022,308.33	3,073,378.33	1.48	984	702	1.211	1.228
Federal Agency Issues - Coupon	16,750,000.00	17,060,142.50	17,050,120.00	8.22	1,243	506	1.152	1.168
Federal Agency Issues - Callable	122,027,000.00	122,283,434.50	121,983,487.68	58.84	1,600	1,434	0.930	0.943
<b>Investments</b>	<b>206,982,059.46</b>	<b>207,570,944.79</b>	<b>207,312,045.47</b>	<b>100.00%</b>	<b>1,061</b>	<b>898</b>	<b>0.744</b>	<b>0.754</b>

I hereby certify that, to the best of my knowledge, this report includes all investments in the cash management program, is in accordance with the City's current investment policy dated April 17, 2012 and will meet the City's cash flow needs for the next six months. Market value amounts are from Bank of New York Mellon (safekeeping bank) month-end statements. Book value amounts include premiums or discounts and are adjusted at year end on the general ledger.

  
 EMILY E. WAGNER, DIRECTOR OF FINANCE 1/23/13

Reporting period 12/01/2012-12/31/2012

Run Date: 01/23/2013 - 14:05

Portfolio PLST  
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 PM (PRF, PM1) 7.3.0  
 Report Ver: 7.3.3

**CITY OF PLEASANTON**  
**Portfolio Management**  
**Portfolio Details - Investments**  
**December 31, 2012**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	Maturity Date
<b>Certificates of Deposit - Bank</b>												
SYS1044	1044	East West Bank	245,000.00	07/12/2012	245,000.00	245,000.00	245,000.00	0.800	0.800	0.811	192	07/12/2013
SYS1050	1050	Fremont Bank	245,000.00	10/21/2012	245,000.00	245,000.00	245,000.00	0.500	0.493	0.500	293	10/21/2013
SYS1049	1049	Heritage Bank of Commerce	245,000.00	10/21/2012	245,000.00	245,000.00	245,000.00	0.750	0.740	0.750	658	10/21/2014
SYS1048	1048	Valley Community Bank	245,000.00	10/06/2012	245,000.00	245,000.00	245,000.00	1.090	1.075	1.090	643	10/06/2014
		<b>Subtotal and Average</b>	<b>980,000.00</b>		<b>980,000.00</b>	<b>980,000.00</b>	<b>980,000.00</b>		<b>0.777</b>	<b>0.788</b>	<b>447</b>	
<b>LALF</b>												
SYS5	5	LOCAL AGENCY INVESTMENT FUND	50,000,000.00		50,000,000.00	50,000,000.00	50,000,000.00	0.326	0.322	0.326	1	
9305031	6	LOCAL AGENCY INVESTMENT FUND	1,225,000.00		1,225,000.00	1,225,000.00	1,225,000.00	0.326	0.322	0.326	1	
		<b>Subtotal and Average</b>	<b>51,225,000.00</b>		<b>51,225,000.00</b>	<b>51,225,000.00</b>	<b>51,225,000.00</b>		<b>0.322</b>	<b>0.326</b>	<b>1</b>	
<b>Fidelity - Government Fund</b>												
SYS2	2	Fidelity - Money Market Fund	13,000,059.46		13,000,059.46	13,000,059.46	13,000,059.46	0.010	0.010	0.010	1	
SYSZA	2A	Fidelity - Money Market Fund	0.00		0.00	0.00	0.00	0.010	0.010	0.010	1	
		<b>Subtotal and Average</b>	<b>13,000,059.46</b>		<b>13,000,059.46</b>	<b>13,000,059.46</b>	<b>13,000,059.46</b>		<b>0.010</b>	<b>0.010</b>	<b>1</b>	
<b>Medium Term Notes</b>												
36962G3T9	6002	General Electric Capital Corp	1,000,000.00	02/17/2011	1,000,000.00	1,014,560.00	1,067,470.00	4.800	2.076	2.105	120	05/01/2013
369604BE2	6006	General Electric Corporation	2,000,000.00	10/24/2012	2,000,000.00	2,007,748.33	2,005,908.33	0.850	0.751	0.761	1,011	10/09/2015
		<b>Subtotal and Average</b>	<b>3,000,000.00</b>		<b>3,000,000.00</b>	<b>3,022,308.33</b>	<b>3,073,378.33</b>		<b>1.211</b>	<b>1.228</b>	<b>702</b>	
<b>Federal Agency Issues - Coupon</b>												
31331JDT7	3473	FEDERAL FARM CREDIT BANK	2,000,000.00	02/11/2010	2,000,000.00	2,003,220.00	2,000,000.00	1.650	1.627	1.650	41	02/11/2013
31331JXZ1	3493	FEDERAL FARM CREDIT BANK	2,000,000.00	08/12/2010	2,000,000.00	2,009,400.00	2,000,000.00	0.950	0.937	0.950	223	08/12/2013
3133XW7L7	3494	FEDERAL HOME LOAN BANK	2,000,000.00	08/18/2010	2,000,000.00	2,001,980.00	2,035,280.00	1.500	0.750	0.760	15	01/16/2013
313370YK8	3495	FEDERAL HOME LOAN BANK	2,000,000.00	09/17/2010	2,000,000.00	2,031,140.00	2,000,000.00	1.250	1.233	1.250	574	07/29/2014
313370LB2	3496	FEDERAL HOME LOAN BANK	2,000,000.00	09/10/2010	2,000,000.00	2,011,420.00	1,998,200.00	1.000	1.016	1.030	255	09/13/2013
31333XBT4	3497	FEDERAL HOME LOAN BANK	2,000,000.00	09/17/2010	2,000,000.00	2,204,080.00	2,269,940.00	4.625	1.628	1.650	892	06/12/2015
313370LB2	3498	FEDERAL HOME LOAN BANK	1,000,000.00	12/13/2010	1,000,000.00	1,005,710.00	998,100.00	1.000	1.288	1.306	255	09/13/2013
313373BB7	3499	FEDERAL HOME LOAN BANK	1,000,000.00	03/30/2011	1,000,000.00	1,041,840.00	1,000,000.00	2.000	1.967	1.994	940	07/30/2015
313379TL3	3504	FEDERAL HOME LOAN BANK	750,000.00	07/03/2012	750,000.00	751,432.50	750,000.00	0.375	0.370	0.375	527	06/12/2014
3134G3SS0	4196	FREDDIE MAC	2,000,000.00	10/18/2012	2,000,000.00	2,000,820.00	1,998,600.00	0.625	0.634	0.643	1,400	11/01/2016
		<b>Subtotal and Average</b>	<b>17,050,178.21</b>		<b>16,750,000.00</b>	<b>17,060,142.50</b>	<b>17,050,120.00</b>		<b>1.152</b>	<b>1.168</b>	<b>506</b>	

Portfolio PLST

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PM(PRF\_PM2)7.3.0

Report Ver. 7.3.3

**CITY OF PLEASANTON**  
**Portfolio Management**  
**Portfolio Details - Investments**  
**December 31, 2012**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	Maturity Date
31331K5H9	4112	FEDERAL FARM CREDIT BANK	1,000,000.00	01/03/2012	1,000,000.00	1,000,030.00	1,000,000.00	1.440	1.420	1.440	1,463	01/03/2017
31331K6V1	4116	FEDERAL FARM CREDIT BANK	1,000,000.00	01/18/2012	1,000,000.00	1,000,500.00	999,000.00	1.270	1.273	1.291	1,478	01/18/2017
31333EABE7	4120	FEDERAL FARM CREDIT BANK	1,000,000.00	01/30/2012	1,000,000.00	1,000,920.00	1,000,000.00	1.230	1.213	1.230	1,490	01/30/2017
31333EADK1	4123	FEDERAL FARM CREDIT BANK	1,000,000.00	02/22/2012	1,000,000.00	1,001,010.00	1,000,000.00	0.850	0.838	0.850	1,147	02/22/2016
31333EACV2	4126	FEDERAL FARM CREDIT BANK	1,000,000.00	02/23/2012	1,000,000.00	1,000,040.00	997,000.00	0.590	0.668	0.678	961	08/10/2015
31333EAGH5	4128	FEDERAL FARM CREDIT BANK	1,000,000.00	03/08/2012	1,000,000.00	1,002,240.00	1,000,000.00	1.250	1.233	1.250	1,527	03/08/2017
31333EAGG7	4129	FEDERAL FARM CREDIT BANK	1,000,000.00	03/08/2012	1,000,000.00	1,001,610.00	1,000,000.00	1.000	0.986	1.000	1,162	03/08/2016
31333EAGH5	4130	FEDERAL FARM CREDIT BANK	1,000,000.00	03/08/2012	1,000,000.00	1,002,240.00	1,000,000.00	1.250	1.233	1.250	1,527	03/08/2017
31333EALC0	4141	FEDERAL FARM CREDIT BANK	2,000,000.00	04/10/2012	2,000,000.00	1,999,780.00	2,000,000.00	1.350	1.332	1.350	1,560	04/10/2016
31333EAL4	4142	FEDERAL FARM CREDIT BANK	2,000,000.00	04/12/2012	2,000,000.00	2,004,800.00	2,000,000.00	1.040	1.026	1.040	1,196	04/11/2016
31333EAPB8	4146	FEDERAL FARM CREDIT BANK	1,000,000.00	05/02/2012	1,000,000.00	1,003,270.00	999,500.00	1.230	1.223	1.240	1,582	05/02/2017
31333EAP7	4150	FEDERAL FARM CREDIT BANK	2,000,000.00	05/09/2012	2,000,000.00	2,004,687.40	2,000,000.00	0.900	0.888	0.900	1,224	05/09/2016
31333EARK6	4152	FEDERAL FARM CREDIT BANK	2,000,000.00	05/23/2012	2,000,000.00	2,003,920.00	2,000,000.00	0.910	0.898	0.910	1,238	05/23/2016
31333EART7	4155	FEDERAL FARM CREDIT BANK	2,000,000.00	05/31/2012	2,000,000.00	2,007,060.00	2,000,000.00	1.150	1.134	1.150	1,610	05/30/2017
31333EASK5	4156	FEDERAL FARM CREDIT BANK	2,000,000.00	06/04/2012	2,000,000.00	2,005,460.00	2,000,000.00	0.610	0.602	0.610	884	06/04/2015
31333EAVG6	4161	FEDERAL FARM CREDIT BANK	2,000,000.00	06/14/2012	2,000,000.00	2,005,580.00	2,000,000.00	0.875	0.880	0.893	1,260	06/14/2016
31333EAVK1	4168	FEDERAL FARM CREDIT BANK	1,000,000.00	06/21/2012	1,000,000.00	1,003,690.00	1,000,000.00	0.990	0.789	0.800	1,175	03/21/2016
31333EAWZ7	4172	FEDERAL FARM CREDIT BANK	2,000,000.00	07/11/2012	2,000,000.00	2,004,660.00	2,000,000.00	0.790	0.779	0.790	1,287	07/11/2016
31333EAYQ5	4174	FEDERAL FARM CREDIT BANK	2,000,000.00	07/20/2012	2,000,000.00	2,004,259.44	2,000,039.44	0.710	0.700	0.710	1,295	07/19/2016
31333EAZK7	4175	FEDERAL FARM CREDIT BANK	2,000,000.00	07/24/2012	2,000,000.00	2,006,660.00	2,000,000.00	0.970	0.957	0.970	1,665	07/24/2017
31333EAMJ3	4180	FEDERAL FARM CREDIT BANK	1,000,000.00	08/15/2012	1,000,000.00	1,002,740.00	998,400.00	0.730	0.760	0.771	1,322	08/15/2016
31333EAXZ9	4187	FEDERAL FARM CREDIT BANK	1,000,000.00	09/18/2012	1,000,000.00	1,001,570.00	998,500.00	0.980	0.997	1.011	1,721	09/18/2016
31333EAK3	4193	FEDERAL FARM CREDIT BANK	2,000,000.00	10/11/2012	2,000,000.00	1,999,920.00	1,997,500.00	0.620	0.643	0.652	1,379	10/11/2016
31333E4H8	4194	FEDERAL FARM CREDIT BANK	3,000,000.00	10/12/2012	3,000,000.00	2,999,228.33	2,995,868.33	0.820	0.839	0.850	1,652	07/11/2017
31333E5V6	4198	FEDERAL FARM CREDIT BANK	1,000,000.00	10/24/2012	1,000,000.00	1,002,070.00	998,900.00	0.620	0.639	0.648	1,392	10/24/2016
31333E6P8	4200	FEDERAL FARM CREDIT BANK	2,000,000.00	11/05/2012	2,000,000.00	2,003,125.00	2,000,000.00	0.500	0.493	0.500	1,038	11/05/2015
31333E2M5	4205	FEDERAL FARM CREDIT BANK	2,000,000.00	11/16/2012	2,000,000.00	2,008,156.67	2,000,156.67	0.940	0.927	0.940	1,777	11/13/2017
31333ECSL4	4207	FEDERAL FARM CREDIT BANK	2,000,000.00	12/05/2012	2,000,000.00	1,998,100.00	1,996,400.00	0.670	0.800	0.811	1,616	06/05/2017
31333E6A4	4213	FEDERAL FARM CREDIT BANK	2,000,000.00	12/20/2012	2,000,000.00	1,995,460.00	1,995,100.00	0.670	0.719	0.729	1,539	03/20/2017
31333E6A5	4214	FEDERAL FARM CREDIT BANK	2,000,000.00	12/26/2012	2,000,000.00	1,996,400.00	1,998,000.00	0.900	0.908	0.921	1,820	12/26/2017
3133378B75	4131	FEDERAL HOME LOAN BANK	2,000,000.00	03/12/2012	2,000,000.00	2,000,080.00	1,996,800.00	0.550	0.536	0.604	800	03/12/2015
3133378R60	4135	FEDERAL HOME LOAN BANK	1,000,000.00	04/09/2012	1,000,000.00	1,005,960.00	1,000,000.00	0.700	0.690	0.700	828	04/09/2015
3133378RN3	4136	FEDERAL HOME LOAN BANK	1,000,000.00	04/16/2012	1,000,000.00	1,006,640.00	1,000,000.00	0.750	0.740	0.750	926	07/16/2015
3133379J26	4157	FEDERAL HOME LOAN BANK	1,800,000.00	06/06/2012	1,800,000.00	1,805,562.00	1,800,000.00	0.850	0.838	0.850	1,252	06/06/2016
3133379J34	4158	FEDERAL HOME LOAN BANK	2,300,000.00	06/06/2012	2,300,000.00	2,314,237.00	2,297,700.00	1.150	1.155	1.171	1,617	06/06/2017

Portfolio PLST

**CITY OF PLEASANTON**  
**Portfolio Management**  
**Portfolio Details - Investments**  
**December 31, 2012**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	Maturity Date
<b>Federal Agency Issues - Callable</b>												
313379UL9	4159	FEDERAL HOME LOAN BANK	06/13/2012	2,000,000.00	2,005,800.00	2,000,000.00	1.190	1.174	1.190	1,624	06/13/2017	
313379UC1	4163	FEDERAL HOME LOAN BANK	06/26/2012	1,000,000.00	1,004,000.00	1,000,000.00	0.550	0.542	0.550	906	06/26/2015	
3133807H4	4179	FEDERAL HOME LOAN BANK	08/15/2012	2,000,000.00	2,000,160.00	1,998,800.00	0.940	0.940	0.953	1,595	05/15/2017	
313380J73	4183	FEDERAL HOME LOAN BANK	08/30/2012	905,000.00	908,380.68	905,050.28	1.000	0.986	1.000	1,700	08/28/2017	
313380LL9	4184	FEDERAL HOME LOAN BANK	09/06/2012	1,000,000.00	1,001,310.00	999,400.00	1.080	1.077	1.092	1,709	09/06/2017	
313380MF1	4185	FEDERAL HOME LOAN BANK	09/18/2012	1,000,000.00	1,000,070.00	999,575.00	1.000	0.995	1.009	1,721	09/18/2017	
313380MF1	4186	FEDERAL HOME LOAN BANK	09/18/2012	1,000,000.00	1,000,070.00	999,380.00	1.000	0.999	1.013	1,721	09/18/2017	
313380MK0	4188	FEDERAL HOME LOAN BANK	09/21/2012	1,000,000.00	997,070.00	999,400.00	0.875	0.876	0.889	1,540	03/21/2017	
3133813D5	4197	FEDERAL HOME LOAN BANK	11/15/2012	2,000,000.00	2,003,660.00	1,999,400.00	1.000	0.992	1.006	1,779	11/15/2017	
3133812G9	4201	FEDERAL HOME LOAN BANK	11/08/2012	2,000,000.00	1,999,940.00	1,995,400.00	0.740	0.781	0.792	1,588	05/08/2017	
3133814L6	4202	FEDERAL HOME LOAN BANK	11/15/2012	2,000,000.00	2,002,240.00	1,999,500.00	1.050	1.041	1.055	1,779	11/15/2017	
3133817D1	4203	FEDERAL HOME LOAN BANK	11/15/2012	2,000,000.00	2,006,500.00	1,999,300.00	0.980	0.974	0.987	1,779	11/15/2017	
313381AN5	4206	FEDERAL HOME LOAN BANK	11/26/2012	2,000,000.00	2,002,893.33	2,000,133.33	0.800	0.789	0.800	1,603	05/23/2017	
313381LC7	4212	FEDERAL HOME LOAN BANK	12/28/2012	2,000,000.00	1,997,140.00	1,999,000.00	0.950	0.947	0.960	1,822	12/28/2017	
3134G3FC9	4107	FREDDIE MAC	12/30/2011	1,000,000.00	1,006,406.20	1,000,000.00	1.000	0.986	1.000	1,002	09/30/2015	
3134G3HL7	4113	FREDDIE MAC	01/18/2012	1,000,000.00	1,000,480.00	1,000,000.00	1.300	1.282	1.300	1,294	07/18/2016	
3134G3KAT	4117	FREDDIE MAC	01/30/2012	1,000,000.00	1,011,120.00	1,000,000.00	1.300	1.282	1.300	1,480	01/30/2017	
3134G3HW3	4118	FREDDIE MAC	01/30/2012	1,000,000.00	1,000,700.00	1,000,000.00	1.000	0.986	1.000	1,032	10/30/2015	
3134G3SD3	4132	FREDDIE MAC	03/28/2012	2,000,000.00	2,012,660.00	1,998,300.00	0.750	0.764	0.775	1,000	09/28/2015	
3134G3YZ7	4173	FREDDIE MAC	07/25/2012	2,000,000.00	2,001,250.00	2,000,000.00	1.100	1.085	1.100	1,666	07/25/2017	
3134G3P38	4199	FREDDIE MAC	10/29/2012	2,000,000.00	2,003,660.00	2,001,000.00	0.750	0.740	0.750	1,373	10/05/2016	
3134G3ZD1	4210	FREDDIE MAC	12/20/2012	2,000,000.00	2,000,300.00	2,000,000.00	0.625	0.616	0.625	1,358	09/20/2016	
3136FRUY3	4065	FEDERAL NATIONAL MORTGAGE ASSN	04/21/2011	1,000,000.00	1,005,580.00	1,000,000.00	2.125	2.086	2.125	841	04/22/2016	
3136FTUZ0	4110	FEDERAL NATIONAL MORTGAGE ASSN	12/30/2011	1,000,000.00	1,010,830.00	1,000,000.00	1.400	1.381	1.400	1,459	12/30/2016	
3136FTYD5	4115	FEDERAL NATIONAL MORTGAGE ASSN	01/25/2012	1,000,000.00	1,000,560.00	1,000,000.00	1.100	1.085	1.100	1,119	01/25/2016	
3136FTH51	4121	FEDERAL NATIONAL MORTGAGE ASSN	02/14/2012	2,000,000.00	2,024,740.00	2,000,000.00	1.250	1.233	1.250	1,505	02/14/2017	
3135GQH09	4124	FEDERAL NATIONAL MORTGAGE ASSN	02/27/2012	2,000,000.00	2,003,340.00	2,000,000.00	1.250	1.233	1.250	1,518	02/27/2017	
3135GQKH5	4144	FEDERAL NATIONAL MORTGAGE ASSN	04/30/2012	1,000,000.00	1,001,320.00	1,000,000.00	0.700	0.680	0.700	849	04/30/2015	
3136GQEQ0	4148	FEDERAL NATIONAL MORTGAGE ASSN	05/10/2012	1,000,000.00	1,004,150.00	1,000,000.00	1.300	1.282	1.300	1,590	05/10/2017	
3136GQFF3	4149	FEDERAL NATIONAL MORTGAGE ASSN	05/04/2012	1,000,000.00	1,001,070.00	1,000,000.00	0.500	0.493	0.500	667	05/10/2014	
3136GGL09	4151	FEDERAL NATIONAL MORTGAGE ASSN	05/21/2012	1,000,000.00	1,001,420.00	1,000,000.00	0.625	0.616	0.625	870	05/21/2015	
3136GGLJ0	4153	FEDERAL NATIONAL MORTGAGE ASSN	05/30/2012	2,000,000.00	2,006,720.00	2,000,000.00	1.250	1.233	1.250	1,610	05/30/2017	
3135GOMN0	4169	FEDERAL NATIONAL MORTGAGE ASSN	06/28/2012	2,000,000.00	2,008,160.00	2,000,000.00	1.125	1.110	1.125	1,639	06/28/2017	
3135GMMW0	4177	FEDERAL NATIONAL MORTGAGE ASSN	08/09/2012	2,000,000.00	2,006,380.00	2,000,000.00	1.050	1.036	1.050	1,681	08/09/2017	
3135GNNR0	4182	FEDERAL NATIONAL MORTGAGE ASSN	08/28/2012	1,000,000.00	1,004,150.00	1,000,000.00	1.100	1.085	1.100	1,700	08/28/2017	
3135GPPD9	4189	FEDERAL NATIONAL MORTGAGE ASSN	09/27/2012	1,000,000.00	1,003,780.00	1,000,000.00	1.070	1.055	1.070	1,730	09/27/2017	

Portfolio PLST

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**CITY OF PLEASANTON**  
**Portfolio Management**  
**Portfolio Details - Investments**  
**December 31, 2012**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	
<b>Federal Agency Issues - Callable</b>												
3135G0PF4	4190	FEDERAL NATIONAL MORTGAGE ASSN	09/27/2012	1,000,000.00	1,001,060.00	1,000,000.00	0.750	0.740	0.750	1,365	09/27/2016	
3136G0J44	4191	FEDERAL NATIONAL MORTGAGE ASSN	10/03/2012	1,000,000.00	1,001,360.00	1,000,000.00	0.650	0.641	0.650	1,371	10/03/2016	
3136G0L58	4192	FEDERAL NATIONAL MORTGAGE ASSN	10/18/2012	1,000,000.00	1,003,460.00	1,000,000.00	1.000	0.986	1.000	1,751	10/18/2017	
3136G04M0	4204	FEDERAL NATIONAL MORTGAGE ASSN	11/21/2012	2,000,000.00	2,004,980.00	2,000,000.00	0.750	0.740	0.750	1,420	11/21/2016	
3136G0WM9	4208	FEDERAL NATIONAL MORTGAGE ASSN	12/10/2012	2,022,000.00	2,020,478.45	2,022,884.63	0.875	0.863	0.875	1,602	05/22/2017	
3135G0SH7	4209	FEDERAL NATIONAL MORTGAGE ASSN	12/12/2012	2,000,000.00	2,000,820.00	2,000,000.00	1.000	0.986	1.000	1,806	12/12/2017	
3135G0SRS	4211	FEDERAL NATIONAL MORTGAGE ASSN	12/27/2012	2,000,000.00	1,997,480.00	1,999,500.00	0.560	0.559	0.567	1,273	06/27/2016	
	<b>Subtotal and Average</b>		<b>115,725,150.21</b>		<b>122,027,000.00</b>	<b>122,283,434.50</b>	<b>121,983,487.68</b>		<b>0.930</b>	<b>0.943</b>	<b>1,434</b>	
	<b>Total and Average</b>		<b>194,376,289.31</b>		<b>206,982,059.46</b>	<b>207,570,944.79</b>	<b>207,312,045.47</b>		<b>0.744</b>	<b>0.754</b>	<b>898</b>	

**CITY OF PLEASANTON**  
**Portfolio Management**  
**Activity By Type**  
**December 1, 2012 through December 31, 2012**

CUSIP	Investment #	Issuer	Stated Rate	Transaction Date	Purchases or Deposits	Redemptions or Withdrawals	Balance
<b>Certificates of Deposit - Bank</b>							
Subtotal							
<b>LAIF (Monthly Summary)</b>							
SYS5	5	LOCAL AGENCY INVESTMENT FUND	0.326		2,000,000.00	1,000,000.00	
					2,000,000.00	1,000,000.00	51,225,000.00
Subtotal							
<b>Fidelity - Government Fund (Monthly Summary)</b>							
SYS2	2	Fidelity - Money Market Fund	0.010		15,000,059.46	2,000,000.00	
					15,000,059.46	2,000,000.00	13,000,059.46
Subtotal							
<b>Medium Term Notes</b>							
Subtotal							
<b>Federal Agency Issues - Coupon</b>							
Subtotal							
<b>Federal Agency Issues - Callable</b>							
31331KZ29	4101	FEDERAL FARM CREDIT BANK	1.330	12/07/2012	0.00	2,000,000.00	
31331K2R0	4102	FEDERAL FARM CREDIT BANK	1.500	12/12/2012	0.00	1,000,000.00	
31331K2R0	4104	FEDERAL FARM CREDIT BANK	1.500	12/12/2012	0.00	1,000,000.00	
3133EC5L4	4207	FEDERAL FARM CREDIT BANK	0.770	12/05/2012	1,996,400.00	0.00	
3133EC6A0	4213	FEDERAL FARM CREDIT BANK	0.670	12/20/2012	1,995,100.00	0.00	
3133EC8A5	4214	FEDERAL FARM CREDIT BANK	0.900	12/26/2012	1,998,000.00	0.00	
313381LC7	4212	FEDERAL HOME LOAN BANK	0.950	12/28/2012	1,999,000.00	0.00	
3134G32D1	4210	FREDDIE MAC	0.625	12/20/2012	2,000,000.00	0.00	
3136FTVH9	4108	FEDERAL NATIONAL MORTGAGE ASSN	1.500	12/28/2012	0.00	1,000,000.00	
3135G0LX9	4164	FEDERAL NATIONAL MORTGAGE ASSN	0.700	12/26/2012	0.00	1,000,000.00	
3136G0WM9	4208	FEDERAL NATIONAL MORTGAGE ASSN	0.875	12/10/2012	2,022,000.00	0.00	
3135G0SH7	4209	FEDERAL NATIONAL MORTGAGE ASSN	1.000	12/12/2012	2,000,000.00	0.00	
3135G0SR5	4211	FEDERAL NATIONAL MORTGAGE ASSN	0.560	12/27/2012	1,999,500.00	0.00	
					16,010,000.00	6,000,000.00	121,983,487.68
Total					33,010,059.46	9,000,000.00	207,312,045.47

**CITY OF PLEASANTON**  
**Portfolio Management**  
**Activity Summary**  
**December 2011 through December 2012**

Month End	Year	Number of Securities	Average Balance	Yield to Maturity		Managed Pool Rate	Number of Investments Purchased	Number of Investments Redeemed	Average Term	Average Days to Maturity
				360 Equivalent	365 Equivalent					
December	2011	93	191,132,769.54	1.026	1.041	0.382	11	3	859	598
January	2012	97	197,742,836.01	1.044	1.059	0.385	9	5	914	651
February	2012	97	193,819,514.25	1.049	1.063	0.389	5	5	948	683
March	2012	96	190,523,959.78	1.040	1.055	0.383	8	9	1,004	747
April	2012	102	198,497,806.79	0.980	0.993	0.367	12	6	986	750
May	2012	105	206,472,277.89	0.961	0.974	0.363	10	7	1,016	788
June	2012	107	201,205,956.04	0.952	0.965	0.358	15	13	1,092	896
July	2012	105	199,846,994.60	0.924	0.937	0.363	6	7	1,083	880
August	2012	105	198,158,963.65	0.926	0.939	0.377	5	4	1,118	909
September	2012	104	194,140,944.79	0.909	0.922	0.348	7	8	1,111	890
October	2012	97	187,036,435.39	0.838	0.849	0.340	11	18	1,056	864
November	2012	96	183,247,148.25	0.810	0.822	0.324	8	9	1,110	938
December	2012	99	194,376,289.31	0.744	0.754	0.326	8	5	1,061	898
<b>Average</b>		<b>100</b>	<b>195,107,123.18</b>	<b>0.939%</b>	<b>0.952%</b>	<b>0.362</b>	<b>9</b>	<b>8</b>	<b>1,028</b>	<b>807</b>

**CITY OF PLEASANTON**  
**Portfolio Management**  
**Distribution of Investments By Type**  
**December 2011 through December 2012**

Security Type	December 2011	January 2012	February 2012	March 2012	April 2012	May 2012	June 2012	July 2012	August 2012	September 2012	October 2012	November 2012	December 2012	Average by Period
Certificates of Deposit - Bank	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5%
Certificates of Deposit - S & L														
LAI	25.6	26.0	26.8	25.8	24.7	25.0	25.2	25.7	24.5	25.1	27.1	27.4	24.7	25.7%
Fidelity - Government Fund	4.5	2.0	0.5		3.9	2.9					2.2		6.3	1.7%
<b>MONEY MARKET (GENERAL)</b>														
Managed Pool Accounts -4														
Passbook/Checking Accounts														
Medium Term Notes	1.0	1.1	1.1	1.1	0.5	0.5	0.5	0.5	0.5	0.6	1.7	1.7	1.5	1.0%
Bankers Acceptances														
Commercial Paper - Discount														
Federal Agency Issues - Coupon	23.9	22.8	22.5	21.8	19.7	19.0	15.1	14.7	12.9	12.3	11.4	9.3	8.2	16.4%
Federal Agency Issues - Discount														
Treasury Securities - Coupon	11.1	10.2	8.4	6.5	4.9	3.0	1.0	1.0	1.0	1.1				3.7%
Treasury Securities - Discount														
Federal Agency Issues - Callable	33.5	37.5	40.2	44.3	45.8	49.2	57.6	57.6	60.5	60.5	57.2	61.1	58.8	51.1%
Miscellaneous Securities - Discount														



**INVESTMENTS HELD BY  
BOND TRUSTEES  
DECEMBER 31, 2012**

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<b>U.S. Bank</b>	<u>Book Value</u>
<u>2004 Certificates of Participation (COP)</u> First American Government Obligation Fund	\$ 394,972.82
<u>2004 Sewer Revenue Refunding Bonds</u> First American Government Obligation Fund	\$ 68,505.23
<b>Bank of New York Mellon</b>	
<u>2003 Certificates of Participation (COP)</u> Dreyfus Treasury Fund	\$ 2.64
<b>Total</b>	<b><u>\$ 463,480.69</u></b>



## Reconciliation of Cash and Investments December 31, 2012

### City Cash and Investments:

#### **Investments:**

Total Investment Pool (Fund 998)	207,312,045.47
2004 COP invested with trustee	394,972.82 *
2004 Sewer Revenue Refunding Bonds	68,505.23 *
2003 COP invested with trustee	2.64 *

#### **Cash:**

In-transit Items (Uncleared checks, Investment Settlements, etc.)	2,814,726.03
Petty Cash Items	7,425.00
Utility Billing Lockbox	544,604.08
Water - Electronic Credits Account in process	532,212.53
Pleasanton Golf LLC	180,907.84 **
Housing Loan - Fremont Bank	171,385.16
Housing Authority	402,499.64
Cemetery Acct - Citibank	145,171.98
Cash held by third party administrators - (e.g. worker's compensation, retiree medical)	200,000.00
Certificates of Deposit in lieu of cash bonds	163,115.00

#### **Total Cash and Investments**

	\$ 212,937,573.42
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\* Total investments held by Bond Trustees \$463,480.69

\*\* Balance reflects Golf Enterprise accrual basis accounting.

Actual bank balance at December 31, 2012 was \$76,223.87